

ON THE LOCATION OF ZEROS OF A POLYNOMIAL

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Abstract. In this paper we extend Enestrom-Kakeya theorem to a large class of polynomials with complex coefficients by putting less restrictions on the coefficients. Our results generalise and extend many known results in this direction.

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1 Introduction and Statement of Results

Let $P(z)$ be a polynomial of degree n . A classical result due to Enestrom and Kakeya^[8] concerning the bound for the moduli of the zeros of polynomials having positive coefficients is often stated as in the following theorem(see [8]) :

Theorem A (Enestrom-Kakeya). Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n whose coefficients satisfy

$$0 \leq a_1 \leq a_2 \leq \dots \leq a_n.$$

Then $P(z)$ has all its zeros in the closed unit disk $|z| \leq 1$.

In the literature there exist several generalisations of this result (see [1], [3], [4], [7], [8]). Recently Aziz and Zargar^[2] relaxed the hypothesis in several ways and proved:

Theorem B. Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n such that for some $k \geq 1$

$$ka_n \geq a_{n-1} \geq \dots \geq a_0.$$

Then all the zeros of $P(z)$ lie in

$$|z + k - 1| \leq \frac{ka_n + |a_0| - a_0}{|a_n|}.$$

For polynomials, whose coefficients are not necessarily real, Govil and Rehman^[6] proved the following generalisation of Theorem A:

Theorem C. Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n with $\operatorname{Re}(a_j) = \alpha_j$ and $\operatorname{Im}(a_j) = \beta_j$, $j = 0, 1, \dots, n$, such that

$$\alpha_n \geq \alpha_{n-1} \geq \dots \geq \alpha_0 \geq 0,$$

where $\alpha_n > 0$, then $P(z)$ has all its zeros in

$$|z| \leq 1 + \left(\frac{2}{\alpha_n}\right) \left(\sum_{j=0}^n |\beta_j|\right).$$

More recently, Govil and Murtuza^[5] proved the following generalisations of Theorems B and C:

Theorem D. Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n with $\operatorname{Re}(a_j) = \alpha_j$ and $\operatorname{Im}(a_j) = \beta_j$, $j = 0, 1, \dots, n$. If for some $k \geq 1$,

$$k\alpha_n \geq \alpha_{n-1} \geq \dots \geq \alpha_0,$$

then $P(z)$ has all its zeros in

$$|z+k-1| \leq \frac{k\alpha_n - \alpha_0 + |\alpha_0| + 2 \sum_{j=0}^n |\beta_j|}{|\alpha_n|}.$$

Theorem E. Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n with $\operatorname{Re}(a_j) = \alpha_j$ and $\operatorname{Im}(a_j) = \beta_j$, $j = 0, 1, \dots, n$. If for some $k \geq 1$,

$$k\beta_n \geq \beta_{n-1} \geq \dots \geq \beta_0,$$

then $P(z)$ has all its zeros in

$$|z+k-1| \leq \frac{k\beta_n - \beta_0 + |\beta_0| + 2 \sum_{j=0}^n |\alpha_j|}{|\beta_n|}.$$

In this paper we shall present some interesting generalizations of Theorems D and E and consequently of Enestrom-Kakeya Theorem. Our first result in this direction is the following:

Theorem 1. Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n with $\operatorname{Re}(a_j) = \alpha_j$ and $\operatorname{Im}(a_j) = \beta_j$, $j = 0, 1, \dots, n$. If for some $\rho \geq 0$,

$$\rho + \alpha_n \geq \alpha_{n-1} \geq \dots \geq \alpha_0,$$

then $P(z)$ has all its zeros in the disk

$$\left| z + \frac{\rho}{\alpha_n} \right| \leq \frac{\rho + \alpha_n - \alpha_0 + |\alpha_0| + 2 \sum_{j=0}^n |\beta_j|}{|\alpha_n|}.$$

Remark 1. Taking $\rho = (k-1)\alpha_n$, Theorem 1 reduces to Theorem D. Theorem C is a special case of theorem 1. To see this we take $\rho = 0$, $\alpha_0 > 0$.

The following corollary is obtained by taking $\rho = \alpha_{n-1} - \alpha_n$ and $\alpha_0 \geq 0$ in Theorem 1.

Corollary 1. Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n with $\operatorname{Re}(a_j) = \alpha_j$ and $\operatorname{Im}(a_j) = \beta_j$, $j = 0, 1, \dots, n$. If for some $k \geq 1$,

$$\alpha_n \geq \alpha_{n-1} \geq \dots \geq \alpha_0 > 0,$$

then $P(z)$ has all its zeros in

$$\left| z + \frac{\alpha_{n-1}}{\alpha_n} - 1 \right| \leq \frac{\alpha_{n-1} + 2 \sum_{j=0}^n |\beta_j|}{|\alpha_n|}.$$

Applying Theorem 1 to $P(tz)$, we obtain the following result:

Corollary 2. Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n with $\operatorname{Re}(a_j) = \alpha_j$ and $\operatorname{Im}(a_j) = \beta_j$, $j = 0, 1, \dots, n$. If for some real numbers $\rho \geq 0$ and $t > 0$,

$$\rho + t^n \alpha_n \geq t^{n-1} \alpha_{n-1} \geq \dots \geq t \alpha_1 \geq \alpha_0,$$

then $P(z)$ has all its zeros in the disk

$$\left| z + \frac{\rho}{t^{n-1} \alpha_n} \right| \leq \frac{\rho + t^n \alpha_n - \alpha_0 + |\alpha_0| + 2 \sum_{j=0}^n |\beta_j| t^j}{t^{n-1} |\alpha_n|}.$$

In Theorem 1, if we take $\alpha_0 \geq 0$, we get the following result:

Corollary 3. Let $P(z) = \sum_{j=0}^n a_j z^j$ is a polynomial of degree n with $\operatorname{Re}(a_j) = \alpha_j$ and $\operatorname{Im}(a_j) = \beta_j$, $j = 0, 1, \dots, n$. If for some real number $\rho \geq 0$,

$$\rho + \alpha_n \geq \alpha_{n-1} \geq \dots \geq \alpha_1 \geq \alpha_0 \geq 0,$$

then $P(z)$ has all its zeros in the disk

$$\left| z + \frac{\rho}{\alpha_n} \right| \leq 1 + \frac{\rho + 2 \sum_{j=0}^n |\beta_j|}{|\alpha_n|}.$$

If we apply Theorem 1 to the polynomial $-iP(z)$, we easily get the following result:

Theorem 2. Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n with $\operatorname{Re}(a_j) = \alpha_j$ and $\operatorname{Im}(a_j) = \beta_j$, $j = 0, 1, \dots, n$. If for some $\rho \geq 0$,

$$\rho + \beta_n \geq \beta_{n-1} \geq \dots \geq \beta_0,$$

then $P(z)$ has all its zeros in the disk

$$\left| z + \frac{\rho}{\beta_n} \right| \leq \frac{\rho + \beta_n - \beta_0 + |\beta_0| + 2 \sum_{j=0}^n |\alpha_j|}{|\beta_n|}.$$

On applying Theorem 2 to the polynomial $P(tz)$, one gets the following result:

Corollary 4. Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n with $\operatorname{Re}(a_j) = \alpha_j$ and $\operatorname{Im}(a_j) = \beta_j$, $j = 0, 1, \dots, n$. If for some $\rho \geq 0$ and $t > 0$

$$\rho + t^n \beta_n \geq t^{n-1} \beta_{n-1} \geq \dots \geq t \beta_1 \geq \beta_0,$$

then $P(z)$ has all its zeros in the disk

$$\left| z + \frac{\rho}{t^{n-1} \beta_n} \right| \leq \frac{\rho + t^n \beta_n - \beta_0 + |\beta_0| + 2 \sum_{j=0}^n |\alpha_j| t^j}{t^{n-1} |\beta_n|}.$$

2 Proofs of the Theorems

Proof of the Theorem 1. Consider the polynomial

$$\begin{aligned} F(z) &= (1-z)P(z) = (1-z)(a_n z^n + (a_{n-1} z^{n-1} + \dots + a_1 z + a_0)) \\ &= (1-z)(a_n z^n + a_{n-1} z^{n-1} + \dots + a_1 z + a_0) \\ &= -a_n z^{n+1} + (\alpha_n - \alpha_{n-1}) z^n + \dots + (\alpha_1 - \alpha_0) z + \alpha_0 - i \beta_n z^{n+1} \\ &\quad + i(\beta_n - \beta_{n-1}) z^n + \dots + i(\beta_1 - \beta_0) z + i \beta_0 \\ &= -\alpha_n z^{n+1} - \rho z^n + (\rho + \alpha_n - \alpha_{n-1}) z^n + (\alpha_{n-1} - \alpha_{n-2}) z^{n-1} + \dots + (\alpha_1 - \alpha_0) z + \alpha_0 \\ &\quad - i \{ -\beta_n z^{n+1} + (\beta_n - \beta_{n-1}) z^n + \dots + (\beta_1 - \beta_0) z + \beta_0 \}. \end{aligned}$$

Then,

$$\begin{aligned}
 |F(z)| &= |-\alpha_n z^{n+1} - \rho z^n + (\rho + \alpha_n - \alpha_{n-1})z^n + (\alpha_{n-1} - \alpha_{n-2})z^{n-1} + \cdots + (\alpha_1 - \alpha_0)z + \alpha_0 \\
 &\quad - i \{ -\beta_n z^{n+1} + (\beta_n - \beta_{n-1})z^n + \cdots + (\beta_1 - \beta_0)z + \beta_0 \} | \\
 &\geq |z|^n \left\{ |\alpha_n z + \rho| - |\rho + \alpha_n - \alpha_{n-1}| - |\alpha_0| \frac{1}{|z|^n} - \sum_{j=1}^{n-1} |\alpha_j - \alpha_{j-1}| \frac{1}{|z|^{n-j}} \right\} \\
 &\quad - |-\beta_n z^{n+1} + \cdots + (\beta_1 - \beta_0)z + \beta_0|.
 \end{aligned}$$

Thus, for $|z| > 1$,

$$\begin{aligned}
 |F(z)| &> |z|^n \{ |\alpha_n z + \rho| - (\rho + \alpha_n - \alpha_{n-1}) - |\alpha_0| - (\alpha_{n-1} - \alpha_{n-2}) \cdots - (\alpha_1 - \alpha_0) \} \\
 &\quad - (|-\beta_n| + |\beta_0|) - \sum_{j=0}^n (|\beta_j| + |\beta_{j-1}|) \\
 &= |z|^n \left\{ |\alpha_n z + \rho| - (\rho + \alpha_n + |\alpha_0| - \alpha_0) - 2 \sum_{j=0}^n |\beta_j| \right\} > 0
 \end{aligned}$$

if

$$|\alpha_n z + \rho| > \rho + \alpha_n + |\alpha_0| - \alpha_0 + 2 \sum_{j=0}^n |\beta_j|.$$

Hence all the zeros of $F(z)$ whose modulus is greater than 1 lie in the disk

$$\left| z + \frac{\rho}{\alpha_n} \right| \leq \frac{\rho + \alpha_n + |\alpha_0| - \alpha_0 + 2 \sum_{j=0}^n |\beta_j|}{|\alpha_n|}.$$

But those zeros of $F(z)$ whose modulus is less than or equal to 1 already satisfy the above inequality. Therefore, all the zeros of $F(z)$ lie in the disk

$$\left| z + \frac{\rho}{\alpha_n} \right| \leq \frac{\rho + \alpha_n + |\alpha_0| - \alpha_0 + 2 \sum_{j=0}^n |\beta_j|}{|\alpha_n|}.$$

Since all the zeros of $P(z)$ are also the zeros of $F(z)$, it follows that all the zeros of $P(z)$ lie in the disk

$$\left| z + \frac{\rho}{\alpha_n} \right| \leq \frac{\rho + \alpha_n + |\alpha_0| - \alpha_0 + 2 \sum_{j=0}^n |\beta_j|}{|\alpha_n|}.$$

This completes the proof of Theorem 1.

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