

THE LOWER DENSITIES OF SYMMETRIC PERFECT SETS

Chengqin Qu

(South China University of Technology, China)

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Abstract. In this paper, we give the exact lower density of Hausdorff measure of a class of symmetric perfect sets.

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1 Introduction

Let $0 \leq s < \infty$ and ν be a measure on \mathbf{R}^n . The upper and lower s -densities of ν at $x \in \mathbf{R}^n$ are defined as

$$\Theta^{*s}(\nu, x) = \limsup_{r \rightarrow 0} \frac{\nu(B(x, r))}{(2r)^s},$$

and

$$\Theta_*^s(\nu, x) = \liminf_{r \rightarrow 0} \frac{\nu(B(x, r))}{(2r)^s},$$

respectively, where $B(x, r)$ denotes the closed ball with diameter $2r$ and center x .

Symmetric perfect sets are nowhere dense perfect subsets of $[0, 1]$ constructed in the following manner. Suppose $I = [0, 1]$, let $\{c_k\}_{k \geq 1}$ be a real number sequence satisfying $0 < c_k < \frac{1}{2}$ ($k \geq 1$). For any $k \geq 1$, let

$$D_k = \{(i_1, \dots, i_k) : i_j \in \{1, 2\}\}, \quad D = \bigcup_{k \geq 0} D_k,$$

where $D_0 = \emptyset$. If

$$\sigma = (\sigma_1, \dots, \sigma_k) \in D_k, \quad \tau = (\tau_1, \dots, \tau_m) \in D_m,$$

let

$$\sigma * \tau = (\sigma_1, \dots, \sigma_k, \tau_1, \dots, \tau_m).$$

Let $\mathcal{F} = \{I_\sigma : \sigma \in D\}$ be the collection of the closed sub-intervals of I satisfying

i) $I_0 = I$;

ii) For any $k \geq 1$ and $\sigma \in D_{k-1}$, I_{σ^*i} ($i = 1, 2$) are sub-intervals of I_σ . $I_{\sigma^*1}, I_{\sigma^*2}$ are arranged from the left to the right, I_{σ^*1} and I_σ have the same left endpoint, I_{σ^*2} and I_σ have the same right endpoint.

iii) For any $k \geq 1$ and $\sigma \in D_{k-1}$, $j = 1, 2$, we have

$$\frac{|I_{\sigma^*j}|}{|I_\sigma|} = c_k,$$

where $|A|$ denotes the diameter of A .

Let

$$E_k = \bigcup_{\sigma \in D_k} I_\sigma, \quad E = \bigcap_{k \geq 0} E_k,$$

we call E the symmetric perfect set and call $\mathcal{F}_k = \{I_\sigma : \sigma \in D_k\}$ the k -order basic intervals of E . The middle-third Cantor set is a well-known example of the symmetric perfect set.

Let x_k be the length of a k -order basic interval, y_k the length of the gap between any two consecutive sub-intervals I_{σ^*1} and I_{σ^*2} , where $\sigma \in D_{k-1}$. Assume that

(1) There exists $k_0 \in \mathbf{N}$ such that

$$c_k \leq \frac{1}{3}$$

for all $k > k_0$.

(2) $\lim_{k \rightarrow \infty} 2^k x_k^s$ exists and is positive finite.

In [8], we gave a formula to calculate the upper s -density of Hausdorff measure for a class of symmetric perfect sets.

Theorem 1. *Let E be a symmetric perfect set, if (1) and (2) hold, then*

$$\Theta^{*s}(\mu_E, x) = \frac{2}{2^s(2^{\frac{1}{s}} - 1)^s} \quad \text{for } \mu_E - \text{a. e. } x \in E,$$

where μ_E is the restriction of the Hausdorff measure \mathcal{H}^s over the set E and s is the Hausdorff dimension of the set E .

This paper gives an analogue for the lower s -density of the Hausdorff measure. Our main result is

Theorem 2. *Let E be the symmetric perfect set, if (2) holds, then*

$$\Theta_*^s(\mu_E, x) = \frac{1}{2^s(2^{\frac{1}{s}} - 1)^s} \quad \text{for } \mu_E - \text{a. e. } x \in E.$$

Remark 1. From the above theorems we know that there exists non-regular symmetric perfect sets.

2 Proof of Theorem

For any $\sigma = (\sigma_1, \dots, \sigma_m) \in D_m$, when $0 < k \leq m$, we denote

$$\sigma|k = (\sigma_1, \dots, \sigma_k).$$

By the definition of x_k and y_k , we have

$$x_k = c_1 \cdots c_k, \quad y_k = (1 - 2c_k)c_1 \cdots c_{k-1}.$$

Take

$$B = \lim_{k \rightarrow \infty} 2^k x_k^s,$$

the assumption (2) implies $0 < B < \infty$ and for any $\varepsilon > 0$ there exists a positive integer k_0 such that

$$B - \varepsilon < 2^s x_k^s < B + \varepsilon, \tag{2.1}$$

for all $k \geq k_0$, and we have

Lemma 2.1. *If the assumption (2) holds, then there exists a positive integer k_0 such that $y_{k+1} < y_k$ for all $k \geq k_0$, and $\mathcal{H}^s(E) = \lim_{k \rightarrow \infty} 2^k x_k^s$.*

Proof. From (2.1) we have

$$y_k = x_{k-1} - 2x_k > \frac{2^{\frac{1}{s}}(B - \varepsilon)^{\frac{1}{s}} - 2(B + \varepsilon)^{\frac{1}{s}}}{2^{sk}},$$

$$y_{k+1} = x_k - 2x_{k+1} < \frac{2^{\frac{1}{s}}(B + \varepsilon)^{\frac{1}{s}} - 2(B - \varepsilon)^{\frac{1}{s}}}{2^{\frac{1}{s}} 2^{sk}}.$$

Take

$$\varepsilon = \frac{(4^{\frac{1}{s}} + 2)^s - 2 \cdot 3^s}{(4^{\frac{1}{s}} + 2)^s + 2 \cdot 3^s} B,$$

we have

$$2^{-\frac{1}{s}}(2^{\frac{1}{s}}(B + \varepsilon)^{\frac{1}{s}} - 2(B - \varepsilon)^{\frac{1}{s}}) < 2^{\frac{1}{s}}(B - \varepsilon)^{\frac{1}{s}} - 2(B + \varepsilon)^{\frac{1}{s}}.$$

Therefore $y_{k+1} < y_k$, and from Theorem 1 in [7] we have

$$\mathcal{H}^s(E) = \lim_{k \rightarrow \infty} 2^k x_k^s,$$

which completes the proof of Lemma 2.1.

Lemma 2.2.^[1] *Let E be the symmetric perfect set. If*

$$B = \lim_{k \rightarrow \infty} 2^k x_k^s$$

exists and is positive finite, then

$$\lim_{k \rightarrow \infty} 2^k (x_k + y_k)^s = (2^{\frac{1}{s}} - 1)^s B.$$

Take

$$\Omega_k = 2^k (x_k + y_k)^s, \Omega = (2^{\frac{1}{s}} - 1)^s B,$$

then for any $\varepsilon > 0$, there exists a positive integer k_0 such that

$$\Omega - \varepsilon < \Omega_k < \Omega + \varepsilon, \quad (2.2)$$

for all $k \geq k_0$.

Let μ be the restriction of the normalized Hausdorff measure $(\mathcal{H}^s(E))^{-1} \mathcal{H}^s$ over the set E , then for any $A \in \mathcal{F}_k$, we have

$$\mu(A) = 2^{-k}. \quad (2.3)$$

Let $\sigma \in D_k, \tau \in D_{k+l}, (l > 0), \tau|k = \sigma$, set

$$I(\sigma, \tau) = I_{\sigma^* p_1} \cup I_{\sigma^* \sigma(2, p_2)} \cup \cdots \cup I_{\sigma^* \sigma(l, p_l)} \cup I_{\sigma^* \sigma(l-1, p_{l-1})} \cup I_{\sigma^* \sigma(l, 1)},$$

where

$$\sigma(m, j) = (p_1 + 1, p_2 + 1, \dots, p_{m-1} + 1, j), 0 \leq p_i \leq 1, j = 0, 1,$$

and $\sigma^* \sigma(l, 1) = \tau, I_{\sigma^* 0} = I_{\sigma^* \sigma(m, 0)} = \emptyset$.

Lemma 2.3. *Let $\sigma \in D_k, \tau \in D_{k+l}, (k > k_0)$ and $\tau|k = \sigma$, then*

$$\frac{\mu([a(\sigma), b(\tau)])}{(b(\tau) - a(\sigma))^s} \geq \frac{1}{\Omega + \varepsilon}. \quad (2.4)$$

Proof. By the definition of $I(\sigma, \tau)$, we have

$$\mu(I(\sigma, \tau)) = \frac{p_1}{2^{k+1}} + \frac{p_2}{2^{k+2}} + \cdots + \frac{p_l}{2^{k+l}},$$

and

$$\begin{aligned} |I(\sigma, \tau)|^s &\leq (p_1(x_{k+1} + y_{k+1}) + p_2(x_{k+2} + y_{k+2}) + \cdots + p_l(x_{k+l} + y_{k+l}))^s \\ &\leq p_1(x_{k+1} + y_{k+1})^s + p_2(x_{k+2} + y_{k+2})^s + \cdots + p_l(x_{k+l} + y_{k+l})^s, \end{aligned}$$

therefore

$$\begin{aligned} \frac{\mu(I(\sigma, \tau))}{|I(\sigma, \tau)|^s} &\geq \frac{\frac{p_1}{2^{k+1}} + \frac{p_2}{2^{k+2}} + \cdots + \frac{p_l}{2^{k+l}}}{p_1(x_{k+1} + y_{k+1})^s + p_2(x_{k+2} + y_{k+2})^s + \cdots + p_l(x_{k+l} + y_{k+l})^s} \\ &\geq \min\{\Omega_{k+1}, \Omega_{k+2}, \dots, \Omega_{k+l}\}. \end{aligned}$$

From (2.2) we have (2.4). Which completes the proof of Lemma 2.3.

Lemma 2.4. *Let E be the symmetric perfect set. If (2) holds, then for all $x \in E$,*

$$\Theta_*^s(\mu, x) \geq 2^{-s}\Omega^{-1}.$$

Proof. Let $x \in E, 0 < r < 1$ and set $J = [x - r, x + r]$, then there exists a positive integer k , such that J contains at least a $(k + 1)$ -order basic interval, but it does not contain any k -order basic interval, thus J intersects with at most two k -order basic intervals, and r can be chosen to be sufficient small such that $k > k_0$.

Case 1. J intersects with two k -order basic intervals. Let $I_{\sigma(1)}, I_{\sigma(2)}(\sigma(1), \sigma(2) \in D_k)$ be such two basic intervals and set $J = J_1 \cup [b(\sigma(1)), a(\sigma(2))] \cup J_2$, where J_1 and J have the same left endpoint, J_2 and J have the same right endpoint. Without loss of generality, let $x \in J_1$, then $a(\sigma(2)) - b(\sigma(1)) \leq |J_1| < |J_1| + |J_2|$, therefore

$$\begin{aligned} \frac{\mu(J)}{|J|^s} &= \frac{\mu(J_1) + \mu(J_2)}{(|J_1| + |J_2| + a(\sigma(2)) - b(\sigma(1)))^s} \\ &\geq \frac{\mu(J_1) + \mu(J_2)}{2^s(|J_1|^s + |J_2|^s)} \\ &\geq \frac{1}{2^s} \min\left\{\frac{\mu(J_1)}{|J_1|^s}, \frac{\mu(J_2)}{|J_2|^s}\right\}. \end{aligned}$$

Let $u = x + r$, i.e. $J_2 = [a(\sigma(2)), u]$. If $u = b(\sigma(2))$, in this case, we obviously have

$$\frac{\mu(J_2)}{|J_2|^s} \geq \frac{1}{\Omega + \varepsilon}. \tag{2.5}$$

If

$$u \in E = \bigcap_{k \geq 1} \bigcup_{\sigma \in D_k} I_\sigma,$$

but $u \neq b(\sigma(2))$, then there exists $\tau \in D$, such that

$$u = \bigcap_{l \geq 1} I_{\tau|l},$$

thus

$$[a(\sigma(2)), u] = \bigcap_{l \geq 1} [a(\sigma(2)), b(\tau|l)],$$

and

$$[a(\sigma(2)), u] \subset \cdots \subset [a(\sigma(2)), b(\tau|(l+1))] \subset [a(\sigma(2)), b(\tau|l)] \subset \cdots.$$

Therefore,

$$\mu(J_2) = \lim_{l \rightarrow \infty} \mu([a(\sigma(2)), b(\tau|l)]).$$

On the other hand, we can choose l to be sufficiently large such that $I_{\tau|l} \subset I_{\sigma(2)}$, that is $\tau|k = \sigma(2)$, in this case, by Lemma 2.3, we have

$$\frac{\mu(J_2)}{|J_2|^s} = \lim_{l \rightarrow \infty} \frac{\mu([a(\sigma(2)), b(\tau|l)])}{|J_2|^s} \geq \lim_{l \rightarrow \infty} \frac{\mu([a(\sigma(2)), b(\tau|l)])}{(b(\tau|l) - a(\sigma(2)))^s} \geq \frac{1}{\Omega + \varepsilon}.$$

If $u \notin E$, i.e.

$$u \in I - \bigcap_{k \geq 1} \bigcup_{\sigma \in D_k} I_\sigma = \bigcup_{k \geq 1} (I - \bigcup_{\sigma \in D_k} I_\sigma),$$

then there exists a positive integer $l > k$ such that

$$u \in I - \bigcup_{\sigma \in D_l} I_\sigma,$$

in this case, similar to the proof of Lemma 2.3, we also have

$$\frac{\mu(J_2)}{|J_2|^s} \geq \frac{1}{\Omega + \varepsilon}. \quad (2.6)$$

For the interval J_1 , similar to the above argument, we have

$$\frac{\mu(J_1)}{|J_1|^s} \geq \frac{1}{\Omega + \varepsilon}. \quad (2.7)$$

Therefore

$$\frac{\mu(J)}{|J|^s} \geq \frac{1}{2^s(\Omega + \varepsilon)}. \quad (2.8)$$

Case 2. J intersects with only a k -order basic interval, let $I_\sigma (\sigma \in D_k)$ be such a basic interval. If the left endpoint of J lies in the left of $a(\sigma)$, set $J_1 = J \cap I_\sigma$. Since $x \in I_\sigma$, then

$$a(\sigma) - (x - r) < |J_1|,$$

thus

$$\frac{\mu(J)}{|J|^s} \geq \frac{\mu(J_1)}{2^s |J_1|^s}.$$

Similar to the proof in Case 1, we have (2.8).

If the right endpoint of J lies in the right of $b(\sigma)$, or $J \subset I_\sigma$, we also have (2.8), which completes the proof of Lemma 2.4, since ε is arbitrary.

Lemma 2.5. *Let E be the symmetric perfect set. If (2) holds, then for almost all $x \in E$,*

$$\Theta_*^s(\mu, x) \leq 2^{-s}\Omega^{-1}.$$

Proof. For any $\sigma \in D_k$, let $\tau \in D_k$ and I_τ the first k -order basic interval to the left of I_σ . Since $0 < c_k < \frac{1}{2}$, we have

$$a(\sigma) - b(\tau) > 0,$$

hence there exists $l > k$ such that

$$r_l = x_l + y_l < a(\sigma) - b(\tau)$$

and

$$\mu([a(\sigma) - r_l, a(\sigma) + r_l]) = \frac{1}{2^l}.$$

It follows that

$$\Theta_*^s(\mu, a(\sigma)) \leq \liminf_{k \rightarrow \infty} \frac{\mu([a(\sigma) - r_l, a(\sigma) + r_l])}{(2r_l)^s} = \frac{1}{2^s\Omega}. \tag{2.9}$$

Now, for $k > 0$ put

$$\sigma(1) = (1, \dots, 1) \in D_k,$$

and

$$A_p^k = \bigcup_{l=p}^{\infty} \bigcup_{\sigma \in D_l} I_{\sigma * \sigma(1)}, A^k = \bigcap_{p=1}^{\infty} A_p^k, A = \bigcap_{k=1}^{\infty} A^k.$$

Similar to the proof of Lemma 2.6 in [7], we know that the measure μ defined in (2.2) is the same as the $\{\frac{1}{2}, \frac{1}{2}\}^{\mathbb{N}}$ Bernoulli measure on the symbolic space $\Sigma = \{1, 2\}^{\mathbb{N}}$. On the other hand, since the Bernoulli measure is ergodic, we know that the set corresponding to A on the symbolic space is a set of full measure, so A is a set of full measure.

For any $x \in A$ there are infinitely many n such that there exists $\sigma \in D_n$ with

$$|x - a(\sigma)| < \frac{1}{2^k}x_n.$$

Taking

$$r = r_n - \frac{1}{2^k}x_n$$

gives

$$[x - r, x + r] \subset [a(\sigma) - r_n, a(\sigma) + r_n],$$

which implies

$$\Theta_*^s(\mu, x) \leq (1 - \frac{1}{2^k})^{-s} 2^{-s}\Omega^{-1},$$

μ -a.e. on E . Taking $k \rightarrow \infty$ we obtain

$$\Theta_*^s(\mu, x) \leq 2^{-s} \Omega^{-1} \mu -$$

a.e. on E . This completes the proof of Lemma 2.5.

Proof of Theorem 2. By Lemma 2.4-2.5, we immediately obtain Theorem 2.

Example 1. Let E be the middle-third Cantor set, it is well known that $\dim_H(E) = s = \frac{\log 2}{\log 3}$, and $\mathcal{H}^s(E) = 1$, where $\dim_H(E)$ is the Hausdorff dimension of the set E , and $\mathcal{H}^s(E)$ is the Hausdorff measure of the set E . By Theorem 1 and Theorem 2 we obtain

$$\Theta^{*s}(\mu_E, x) = \frac{2}{4^s}, \Theta_*^s(\mu_E, x) = \frac{1}{4^s} \quad \text{for } \mu_E - \text{a. e. } x \in E.$$

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Department of Applied Mathematics
 South China University of Technology
 Guangzhou, 510640
 P. R. China

E-mail: chengqingqu@tom.com