

## Complex and $p$ -Adic Meromorphic Functions $f'P'(f)$ , $g'P'(g)$ Sharing a Small Function

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**Abstract.** Let  $\mathbb{K}$  be a complete algebraically closed  $p$ -adic field of characteristic zero. We apply results in algebraic geometry and a new Nevanlinna theorem for  $p$ -adic meromorphic functions in order to prove results of uniqueness in value sharing problems, both on  $\mathbb{K}$  and on  $\mathbb{C}$ . Let  $P$  be a polynomial of uniqueness for meromorphic functions in  $\mathbb{K}$  or  $\mathbb{C}$  or in an open disk. Let  $f, g$  be two transcendental meromorphic functions in the whole field  $\mathbb{K}$  or in  $\mathbb{C}$  or meromorphic functions in an open disk of  $\mathbb{K}$  that are not quotients of bounded analytic functions. We show that if  $f'P'(f)$  and  $g'P'(g)$  share a small function  $\alpha$  counting multiplicity, then  $f = g$ , provided that the multiplicity order of zeros of  $P'$  satisfy certain inequalities. A breakthrough in this paper consists of replacing inequalities  $n \geq k+2$  or  $n \geq k+3$  used in previous papers by Hypothesis (G). In the  $p$ -adic context, another consists of giving a lower bound for a sum of  $q$  counting functions of zeros with  $(q-1)$  times the characteristic function of the considered meromorphic function.

**Key Words:** Meromorphic, nevanlinna, sharing value, unicity, distribution of values.

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## 1 Introduction

**Notation and Definition 1.1.** Let  $\mathbb{K}$  be an algebraically closed field of characteristic zero, complete with respect to an ultrametric absolute value  $|\cdot|$ . We will denote by  $\mathbb{E}$  a field that is either  $\mathbb{K}$  or  $\mathbb{C}$ . Throughout the paper we denote by  $a$  a point in  $\mathbb{K}$ . Given  $R \in [0, +\infty]$  we define disks  $d(a, R) = \{x \in \mathbb{K} \mid |x - a| \leq R\}$  and disks  $d(a, R^-) = \{x \in \mathbb{K} \mid |x - a| < R\}$ .

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A polynomial  $Q(X) \in \mathbb{E}[X]$  is called a *polynomial of uniqueness for a family of functions*  $\mathcal{F}$  defined in a subset of  $\mathbb{E}$  if  $Q(f) = Q(g)$  implies  $f = g$ . The definition of polynomials of uniqueness was introduced in [19] by P. Li and C. C. Yang and was studied in many papers [11, 13, 20] for complex functions and in [1, 2, 9, 10, 17, 18], for  $p$ -adic functions.

Throughout the paper we will denote by  $P(X)$  a polynomial in  $\mathbb{E}[X]$  such that  $P'(X)$  is of the form  $b \prod_{i=1}^l (X - a_i)^{k_i}$  with  $l \geq 2$  and  $k_1 \geq 2$ . The polynomial  $P$  will be said to *satisfy Hypothesis (G)* if  $P(a_i) + P(a_j) \neq 0, \forall i \neq j$ .

We will improve the main theorems obtained in [5] and [6] with the help of a new hypothesis denoted by Hypothesis (G) and by thoroughly examining the situation with  $p$ -adic and complex analytic and meromorphic functions in order to avoid a lot of exclusions. Moreover, we will prove a new theorem completing the 2nd Main Theorem for  $p$ -adic meromorphic functions. Thanks to this new theorem we will give more precisions in results on value-sharing problems.

**Notation 1.1.** Let  $L$  be an algebraically closed field, let  $P \in L[x] \setminus L$  and let  $\Xi(P)$  be the set of zeros  $c$  of  $P'$  such that  $P(c) \neq P(d)$  for every zero  $d$  of  $P'$  other than  $c$ . We denote by  $\Phi(P)$  its cardinal.

We denote by  $\mathcal{A}(\mathbb{E})$  the  $\mathbb{E}$ -algebra of entire functions in  $\mathbb{E}$ , by  $\mathcal{M}(\mathbb{E})$  the field of meromorphic functions in  $\mathbb{E}$ , i.e., the field of fractions of  $\mathcal{A}(\mathbb{E})$  and by  $\mathbb{E}(x)$  the field of rational functions. Throughout the paper, we denote by  $\mathcal{A}(d(a, R^-))$  the  $\mathbb{K}$ -algebra of analytic functions in  $d(a, R^-)$  i.e., the  $\mathbb{K}$ -algebra of power series  $\sum_{n=0}^{\infty} a_n (x - a)^n$  converging in  $d(a, R^-)$  and we denote by  $\mathcal{M}(d(a, R^-))$  the field of meromorphic functions inside  $d(a, R^-)$ , i.e., the field of fractions of  $\mathcal{A}(d(a, R^-))$ . Moreover, we denote by  $\mathcal{A}_b(d(a, R^-))$  the  $\mathbb{K}$ -subalgebra of  $\mathcal{A}(d(a, R^-))$  consisting of the bounded analytic functions in  $d(a, R^-)$ , i.e., which satisfy  $\sup_{n \in \mathbb{N}} |a_n| R^n < +\infty$ . We denote by  $\mathcal{M}_b(d(a, R^-))$  the field of fractions of  $\mathcal{A}_b(d(a, R^-))$  and finally, we denote by  $\mathcal{A}_u(d(a, R^-))$  the set of unbounded analytic functions in  $d(a, R^-)$ , i.e.,  $\mathcal{A}(d(a, R^-)) \setminus \mathcal{A}_b(d(a, R^-))$ . Similarly, we set  $\mathcal{M}_u(d(a, R^-)) = \mathcal{M}(d(a, R^-)) \setminus \mathcal{M}_b(d(a, R^-))$ .

**Theorem 1.1** (see [9]). *Let  $P(X) \in \mathbb{K}[X]$ . If  $\Phi(P) \geq 2$  then  $P$  is a polynomial of uniqueness for  $\mathcal{A}(\mathbb{K})$ . If  $\Phi(P) \geq 3$  then  $P$  is a polynomial of uniqueness for  $\mathcal{M}(\mathbb{K})$  and for  $\mathcal{A}_u(d(a, R^-))$ . If  $\Phi(P) \geq 4$  then  $P$  is a polynomial of uniqueness for  $\mathcal{M}_u(d(a, R^-))$ .*

*Let  $P(X) \in \mathbb{C}[X]$ . If  $\Phi(P) \geq 3$  then  $P$  is a polynomial of uniqueness for  $\mathcal{A}(\mathbb{C})$ . If  $\Phi(P) \geq 4$  then  $P$  is a polynomial of uniqueness for  $\mathcal{M}(\mathbb{C})$ .*

Concerning polynomials such that  $P'$  has exactly two distinct zeros, we know other results:

**Theorem 1.2** (see [1, 2, 18]). *Let  $P \in \mathbb{K}[x]$  be such that  $P'$  has exactly two distinct zeros  $\gamma_1$  of order  $c_1$  and  $\gamma_2$  of order  $c_2$  with  $\min\{c_1, c_2\} \geq 2$ . Then  $P$  is a polynomial of uniqueness for  $\mathcal{M}(\mathbb{K})$ .*

**Theorem 1.3** (see [9, 17]). *Let  $P \in \mathbb{K}[x]$  be of degree  $n \geq 6$  be such that  $P'$  only has two distinct zeros, one of them being of order 2. Then  $P$  is a polynomial of uniqueness for  $\mathcal{M}_u(d(0, R^-))$ .*

**Theorem 1.4** (see [18]). *Let  $P \in \mathbb{C}[x]$  be such that  $P'$  has exactly two distinct zeros  $\gamma_1$  of order  $c_1$  and  $\gamma_2$  of order  $c_2$  with  $\min\{c_1, c_2\} \geq 2$  and  $\max(c_1, c_2) \geq 3$ . Then  $P$  is a polynomial of uniqueness for  $\mathcal{M}(\mathbb{C})$ .*

In order to state theorems and recall the definition of a small function, we must recall the definition of the classical Nevanlinna functions both on a  $p$ -adic field and on the field  $\mathbb{C}$  together with a few specific properties of ultrametric analytic or meromorphic functions [7, 11, 13].

**Notation 1.2.** Let  $\log$  be a real logarithm function of base  $b > 1$  and let  $\log^+(x) = \max(0, \log(x))$ . Let  $f \in \mathcal{M}(\mathbb{E})$  (resp.  $f \in \mathcal{M}(d(0, R^-))$ ) having no zero and no pole at 0. Let  $r \in [0, +\infty]$  (resp.  $r \in [0, R]$ ) and let  $\gamma \in d(0, r)$ . If  $f$  has a zero of order  $n$  at  $\gamma$ , we put  $\omega_\gamma(h) = n$ . If  $f$  has a pole of order  $n$  at  $\gamma$ , we put  $\omega_\gamma(f) = -n$  and finally, if  $f(\gamma) \neq 0, \infty$ , we set  $\omega_\gamma(f) = 0$ . These definitions of Nevanlinna's functions are equivalent to those defined in [7].

We denote by  $Z(r, f)$  the counting function of zeros of  $f$  in  $d(0, r)$ , counting multiplicities, i.e.,

$$Z(r, f) = \max(\omega_0, 0) \log r + \sum_{\omega_\gamma(f) > 0, 0 < |\gamma| \leq r} \omega_\gamma(f) (\log r - \log |\gamma|).$$

Similarly, we denote by  $\bar{Z}(r, f)$  the counting function of zeros of  $f$  in  $d(0, r)$ , ignoring multiplicities, and set

$$\bar{Z}(r, f) = u \log r + \sum_{\omega_\gamma(f) > 0, 0 < |\gamma| \leq r} (\log r - \log |\gamma|)$$

with  $u = 1$  when  $\omega_0(f) > 0$  and  $u = 0$  else.

In the same way, we set  $N(r, f) = Z(r, 1/f)$  (resp.  $\bar{N}(r, f) = \bar{Z}(r, 1/f)$ ) to denote the counting function of poles of  $f$  in  $d(0, r)$ , counting multiplicities (resp. ignoring multiplicities).

For  $f \in \mathcal{M}(\mathbb{K})$  or  $f \in \mathcal{M}(d(0, R^-))$ , we call *Nevanlinna function of  $f$*  the function  $T(r, f) = \max\{Z(r, f), N(r, f)\}$ .

Consider now a function  $f \in \mathcal{M}(\mathbb{C})$ . We can define a function

$$m(r, f) = \frac{1}{2\pi} \int_0^{2\pi} \log^+ |f(re^{i\theta})| d\theta$$

and we call *Nevanlinna function of  $f$*  the function  $T(r, f) = m(r, f) + N(r, f)$ .

Now, we must recall the definition of a *small function* with respect to a meromorphic function and some pertinent properties.

**Definition 1.1.** Let  $f \in \mathcal{M}(\mathbb{E})$  (resp. let  $f \in \mathcal{M}(d(0, R^-))$ ) such that  $f(0) \neq 0, \infty$ . A function  $\alpha \in \mathcal{M}(\mathbb{E})$  (resp.  $\alpha \in \mathcal{M}(d(0, R^-))$ ) is called a *small function with respect to  $f$* , if it satisfies

$$\lim_{r \rightarrow +\infty} \frac{T(r, \alpha)}{T(r, f)} = 0, \quad \text{resp.} \quad \lim_{r \rightarrow R^-} \frac{T(r, \alpha)}{T(r, f)} = 0.$$

We denote by  $\mathcal{M}_f(\mathbb{E})$  (resp.  $\mathcal{M}_f(d(0, R^-))$ ) the set of small meromorphic functions with respect to  $f$  in  $\mathbb{E}$  (resp. in  $d(0, R^-)$ ).

**Remark 1.1.** Thanks to classical properties of the Nevanlinna function  $T(r, f)$  with respect to the operations in a field of meromorphic functions, such as  $T(r, f + g) \leq T(r, f) + T(r, g) + \mathcal{O}(1)$  and  $T(r, fg) \leq T(r, f) + T(r, g) + \mathcal{O}(1)$ , for  $f, g \in \mathcal{M}(\mathbb{K})$  and  $r > 0$ , it is easily proven that  $\mathcal{M}_f(\mathbb{E})$  (resp.  $\mathcal{M}_f(d(0, R^-))$ ) is a subfield of  $\mathcal{M}(\mathbb{E})$  (resp.  $\mathcal{M}(d(0, R^-))$ ) and that  $\mathcal{M}(\mathbb{E})$  (resp.  $\mathcal{M}(d(0, R^-))$ ) is a transcendental extension of  $\mathcal{M}_f(\mathbb{E})$  (resp. of  $\mathcal{M}_f(d(0, R^-))$ ) [10].

Let us remember the following definition.

**Definition 1.2.** Let  $f, g, \alpha \in \mathcal{M}(\mathbb{E})$  (resp. let  $f, g, \alpha \in \mathcal{M}(d(0, R^-))$ ). We say that  $f$  and  $g$  share the function  $\alpha$  C.M., if  $f - \alpha$  and  $g - \alpha$  have the same zeros with the same multiplicities in  $\mathbb{E}$  (resp. in  $d(0, R^-)$ ).

In [5] and [6], we have obtained this general Theorem (where results of [5] and [6] here are gathered):

**Theorem 1.5.** Let  $P$  be a polynomial of uniqueness for  $\mathcal{M}(\mathbb{K})$ , (resp. for  $\mathcal{M}(\mathbb{C})$ , resp. for  $\mathcal{M}(d(0, R^-))$ ) with  $l \geq 2$ ,  $k_i \geq k_{i+1}$ ,  $2 \leq i \leq l - 1$  and let  $k = \sum_{i=2}^l k_i$ . Suppose  $P$  satisfies the following conditions:

- (1)  $k_1 \geq 10 + \sum_{i=3}^l \max(0, 4 - k_i) + \max(0, 5 - k_2)$ ,
- (2)  $k_1 \geq k + 2$  (resp.  $k_1 \geq k + 3$ , resp.  $k_1 \geq k + 3$ ),
- (3) if  $l = 2$ , then  $k_1 \neq k + 1, 2k, 2k + 1, 3k + 1$ ,
- (4) if  $l = 3$ , then  $k_1 \neq k + 1, 2k + 1, 3k_i - k, \forall i = 2, 3$ ,
- (5) If  $l \geq 4$ , then  $k_1 \neq k + 1$ .

Let  $f, g \in \mathcal{M}(\mathbb{E})$  (resp.  $f, g \in \mathcal{M}_u(d(a, R^-))$ ) be transcendental and let  $\alpha \in \mathcal{M}_f(\mathbb{E}) \cap \mathcal{M}_g(\mathbb{K})$  (resp.  $\alpha \in \mathcal{M}_f(d(a, R^-)) \cap \mathcal{M}_g(d(a, R^-))$ ) be non-identically zero. If  $f'P'(f)$  and  $g'P'(g)$  share  $\alpha$  C.M., then  $f = g$ .

In the field  $\mathbb{K}$ , several particular applications were given when the small function is a constant or a Moebius function. On  $\mathbb{C}$ , we can't get similar refinements because the complex Nevanlinna Theory is less accurate than the  $p$ -adic Nevanlinna Theory.

In the present paper, thanks to the new Hypothesis (G) introduced below, we mean to avoid the hypothesis  $k_1 \geq k + 2$  for  $\mathcal{M}(\mathbb{K})$  and  $k_1 \geq k + 3$  for  $\mathcal{M}(\mathbb{C})$  and for  $\mathcal{M}(d(a, R^-))$ .

But first, we have a new theorem for  $p$ -adic analytic functions: First we can improve results of [5] concerning  $p$ -adic analytic functions.

**Theorem 1.6.** Let  $P(X) \in \mathbb{K}[X]$  be a polynomial of uniqueness for  $\mathcal{A}(\mathbb{K})$  (resp. for  $\mathcal{A}_u(d(a, R^-))$ ) and let  $P'(X) = b \prod_{i=1}^l (X - a_i)^{k_i}$ . Let  $f, g \in \mathcal{A}(\mathbb{K})$  be transcendental (resp. let  $f, g \in \mathcal{A}_u(d(a, R^-))$ ), be such that  $f'P'(f)$  and  $g'P'(g)$  share CM a small function  $\alpha \in \mathcal{A}_f(\mathbb{K}) \cap \mathcal{A}_g(\mathbb{K})$  (resp.  $\alpha \in \mathcal{A}_f(d(a, R^-)) \cap \mathcal{A}_g(d(a, R^-))$ ). If  $\sum_{i=1}^l k_i \geq 2l + 2$  then  $f = g$ . Moreover, if  $f, g$  belong to  $\mathcal{A}(\mathbb{K})$ , if  $\alpha$  is a constant and if  $\sum_{i=1}^l k_i \geq 2l + 1$  then  $f = g$ .

**Corollary 1.1.** Let  $P(X) \in \mathbb{K}[X]$  be such that  $\Phi(P) \geq 2$  and let  $P'(X) = b \prod_{i=1}^l (X - a_i)^{k_i}$ . Let  $f, g \in \mathcal{A}(\mathbb{K})$  be transcendental such that  $f'P'(f)$  and  $g'P'(g)$  share CM a small function  $\alpha \in \mathcal{A}_f(\mathbb{K}) \cap \mathcal{A}_g(\mathbb{K})$ . If  $\sum_{i=1}^l k_i \geq 2l + 2$  then  $f = g$ . Moreover, if  $\alpha$  is a constant and if  $\sum_{i=1}^l k_i \geq 2l + 1$  then  $f = g$ .

**Example 1.1.** Let  $c \in \mathbb{K}$  be a solution of the algebraic equation:

$$X^{11} \left( \frac{1}{11} - \frac{1}{10} \right) - X^9 \left( \frac{1}{9} - \frac{1}{8} \right) + X \left( \frac{1}{10} - \frac{1}{8} \right) - \frac{1}{11} + \frac{1}{9} = 0.$$

Let

$$P(X) = \frac{X^{11}}{11} - \frac{cX^{10}}{10} - \frac{X^9}{9} + \frac{cX^8}{8}.$$

Then we can check that  $P'(X) = X^7(X-1)(X+1)(X-c)$ ,  $P(1) = P(c) \neq 0$  and that  $P(1) \neq 0$ ,  $P(-1) \neq 0$ ,  $P(1) + P(-1) = c(1/4 - 1/5)$  and  $P(-1) - P(1) = 2(1/11 - 1/9)$ , hence  $P(-1) \neq P(c)$ .

Consequently, we can apply Corollary 1.1 and show that if  $f'P'(f)$  and  $g'P'(g)$  share a small function  $\alpha \in \mathcal{A}_f(\mathbb{K}) \cap \mathcal{A}_g(\mathbb{K})$ , then  $f = g$ .

**Remark 1.2.** Recall Hypothesis (F) due to H. Fujimoto [12]. A polynomial  $Q$  is said to satisfy Hypothesis (F) if the restriction of  $Q$  to the set of zeros of  $Q'$  is injective. In the last example, we may notice that Hypothesis (F) is not satisfied by  $P$ .

**Corollary 1.2.** Let  $P(X) \in \mathbb{K}[X]$  be such that  $\Phi(P) \geq 3$  and let  $P'(X) = b \prod_{i=1}^l (X - a_i)^{k_i}$ . Let  $f, g \in \mathcal{A}_u(d(a, R^-))$  be such that  $f'P'(f)$  and  $g'P'(g)$  share CM a small function  $\alpha \in \mathcal{A}_f(d(a, R^-)) \cap \mathcal{A}_g(d(a, R^-))$ . If  $\sum_{i=1}^l k_i \geq 2l + 2$  then  $f = g$ .

**Corollary 1.3.** Let  $P(X) \in \mathbb{K}[X]$  be such that  $\Phi(P) \geq 2$  (resp.  $\Phi(P) \geq 3$ ) and let  $P'(X) = bX^n \prod_{i=2}^l (X - a_i)^{k_i}$  with  $l \geq 3$  and let  $f, g \in \mathcal{A}(\mathbb{K})$  (resp.  $f, g \in \mathcal{A}_u(d(a, R^-))$ ) be such that  $f'P'(f)$  and  $g'P'(g)$  share CM a small function  $\alpha \in \mathcal{A}_f(\mathbb{K}) \cap \mathcal{A}_g(\mathbb{K})$  (resp.  $\alpha \in \mathcal{A}_f(d(a, R^-)) \cap \mathcal{A}_g(d(a, R^-))$ ). If  $n \geq l + 3$  then  $f = g$ . Moreover, if  $f, g$  belong to  $\mathcal{A}(\mathbb{K})$ , if  $\alpha$  is a constant and if  $n \geq l + 2$  then  $f = g$ .

In order to improve results of [5] on  $p$ -adic meromorphic functions and of [6] on complex meromorphic functions, we have to state Propositions 1.1 and 1.2 derived from results of [3] and [4].

**Notation and Definition 1.2.** Henceforth we assume that  $P(a_1) = 0$  and that  $P'(X)$  is of the form  $b \prod_{i=1}^l (X - a_i)^{k_i}$  with  $l \geq 2$ . The polynomial  $P$  will be said to satisfy Hypothesis (G) if  $P(a_i) + P(a_j) \neq 0, \forall i \neq j$ .

**Proposition 1.1.** Let  $P \in \mathbb{K}[X]$  satisfy Hypothesis (G) and  $n \geq 2$  (resp.  $n \geq 3$ ). If meromorphic functions  $f, g \in \mathcal{M}(\mathbb{K})$  (resp.  $f, g \in \mathcal{M}(d(a, R^-))$ ) satisfy  $P(f(x)) = P(g(x)) + C (C \in \mathbb{K}^*)$ ,  $\forall x \in \mathbb{K}$  (resp.  $\forall x \in d(a, R^-)$ ), then both  $f$  and  $g$  are constant (resp.  $f$  and  $g$  belong to  $\mathcal{M}_b(d(a, R^-))$ ).

**Proposition 1.2.** Let  $P \in \mathbb{C}[X]$  satisfy Hypothesis (G) and  $n \geq 3$ . If meromorphic functions  $f, g \in \mathcal{M}(\mathbb{C})$  satisfy  $P(f(x)) = P(g(x)) + C (C \in \mathbb{C}^*)$ ,  $\forall x \in \mathbb{C}$ , then both  $f$  and  $g$  are constant.

From [5] and thanks to Propositions 1.1, we can now derive the following Theorems 1.7-1.10:

**Theorem 1.7.** Let  $P$  be a polynomial of uniqueness for  $\mathcal{M}(\mathbb{K})$ , (resp for  $\mathcal{M}(d(0, R^-))$ ) with  $l \geq 2$ , let  $P'(X) = b \prod_{i=1}^l (X - a_i)^{k_i}$  with  $b \in \mathbb{K}^*$ ,  $k_i \geq k_{i+1}$ ,  $2 \leq i \leq l-1$ , let  $k = \sum_{i=2}^l k_i$ , let  $u_5$  be the biggest of the  $i$  such that  $k_i > 4$  and let  $s_5 = \max(0, u_5 - 3)$  and for each  $m \in \mathbb{N}$ , let  $u_m$  be the biggest of the  $i$  such that  $k_i > m$  and let  $s_m = \max(0, u_m - 2)$ . Suppose  $P$  satisfies the following conditions:

- (1)  $k_1 \geq 10 + \max(0, 5 - k_2) + \sum_{i=3}^l \max(0, 4 - k_i) - \min(2l, \sum_{m=5}^\infty s_m)$ ,
- (2) either  $k_1 \geq k + 2$  (resp.  $k_1 \geq k + 3$ , resp.  $k_1 \geq k + 3$ ) or  $P$  satisfies Hypothesis (G),
- (3) if  $l = 2$ , then  $k_1 \neq k + 1, 2k, 2k + 1, 3k + 1$ ,
- (4) if  $l = 3$ , then  $k_1 \neq \frac{k}{2}, k_1 \neq k + 1, 2k + 1, 3k_i - k, \forall i = 2, 3$ ,
- (5)  $l \geq 4$ , then  $k_1 \neq k + 1$ .

Let  $f, g \in \mathcal{M}(\mathbb{K})$  (resp.  $f, g \in \mathcal{M}(d(a, R^-))$ ) be transcendental and let  $\alpha \in \mathcal{M}_f(\mathbb{K}) \cap \mathcal{M}_g(\mathbb{K})$  (resp.  $\alpha \in \mathcal{M}_f(d(a, R^-)) \cap \mathcal{M}_g(d(a, R^-))$ ) be non-identically zero. If  $f'P'(f)$  and  $g'P'(g)$  share  $\alpha$  C.M., then  $f = g$ .

**Remark 1.3.** The sum  $\sum_{m=5}^\infty s_m$  is obviously finite.

**Corollary 1.4.** Let  $P \in \mathbb{K}[x]$  satisfy  $\Phi(P) \geq 3$  and hypothesis (G), let  $P' = b \prod_{i=1}^l (X - a_i)^{k_i}$  with  $b \in \mathbb{K}^*$ ,  $l \geq 3$ ,  $k_i \geq k_{i+1}$ ,  $2 \leq i \leq l-1$ , let  $k = \sum_{i=2}^l k_i$ , and for each  $m \in \mathbb{N}$ , let  $u_m$  be the biggest of the  $i$  such that  $k_i > 4$ ,  $s_5 = \max(0, u_5 - 3)$  and for every  $m \geq 6$ , let  $s_m = \max(0, u_m - 2)$ . Suppose  $P$  satisfies the following conditions:

- (1)  $k_1 \geq 10 + \max(0, 5 - k_2) + \sum_{i=3}^l \max(0, 4 - k_i) - \min(2l - 1, \sum_{m=5}^\infty s_m)$ ,
- (2) if  $l = 3$ , then  $k_1 \neq k/2, k + 1, 2k + 1, 3k_i - k, \forall i = 2, 3$ ,
- (3) if  $l \geq 4$ , then  $k_1 \neq k + 1$ .

Let  $f, g \in \mathcal{M}(\mathbb{K})$  be transcendental and let  $\alpha \in \mathcal{M}_f(\mathbb{K}) \cap \mathcal{M}_g(\mathbb{K})$  be non-identically zero. If  $f'P'(f)$  and  $g'P'(g)$  share  $\alpha$  C.M., then  $f = g$ .

**Example 1.2.** Let

$$P(X) = \frac{X^{20}}{20} - \frac{X^{19}}{19} - \frac{4X^{18}}{18} + \frac{4X^{17}}{17} + \frac{6X^{16}}{16} - \frac{6X^{15}}{15} - \frac{4X^{14}}{14} + \frac{4X^{13}}{13} + \frac{X^{12}}{12} - \frac{X^{11}}{11}.$$

We can check that  $P'(X) = X^{10}(X - 1)^5(X + 1)^4$  and

$$P(0) = 0, \quad P(1) = \sum_{j=0}^4 C_4^j (-1)^j \left( \frac{1}{10+2j} - \frac{1}{9+2j} \right), \quad P(-1) = - \sum_{j=0}^4 C_4^j \left( \frac{1}{10+2j} + \frac{1}{9+2j} \right).$$

Consequently, we have  $\Phi(P) = 3$  and we check that Hypothesis (G) is satisfied. Now, let  $f, g \in \mathcal{M}(\mathbb{K})$  be transcendental and let  $\alpha \in \mathcal{M}_f(\mathbb{K}) \cap \mathcal{M}_g(\mathbb{K})$  be non-identically zero. If  $f'P'(f)$  and  $g'P'(g)$  share  $\alpha$  C.M., then  $f = g$ .

**Remark 1.4.** In that example, we have  $k_1 = 10, k = 9$ . Applying our previous work, a conclusion would have required  $k_1 \geq k + 2 = 11$ .

**Theorem 1.8.** Let  $P$  be a polynomial of uniqueness for  $\mathcal{M}(\mathbb{C})$ , with  $l \geq 2$ , let  $P'(X) = b \prod_{i=1}^l (X - a_i)^{k_i}$  with  $b \in \mathbb{K}^*, k_i \geq k_{i+1}, 2 \leq i \leq l - 1$ , let  $k = \sum_{i=2}^l k_i$ , let  $u_5$  be the biggest of the  $i$  such that  $k_i > 4$  and let  $s_5 = u_5 - 3$  and for each  $m \in \mathbb{N}$ , let  $u_m$  be the biggest of the  $i$  such that  $k_i > m$  and let  $s_m = \max(0, u_m - 2)$ . Suppose  $P$  satisfies the following conditions:

- (1)  $k_1 \geq 10 + \max(0, 5 - k_2) + \sum_{i=3}^l \max(0, 4 - k_i) - \min(2l, \sum_{m=5}^{\infty} s_m)$ ,
- (2) either  $k_1 \geq k + 3$  or  $P$  satisfies Hypothesis (G),
- (3) if  $l = 2$ , then  $k_1 \neq k + 1, 2k, 2k + 1, 3k + 1$ ,
- (4) if  $l = 3$ , then  $k_1 \neq k/2, k_1 \neq k + 1, 2k + 1, 3k_i - k, \forall i = 2, 3$ ,
- (5) If  $l \geq 4$ , then  $k_1 \neq k + 1$ .

Let  $f, g \in \mathcal{M}(\mathbb{C})$  be transcendental and let  $\alpha \in \mathcal{M}_f(\mathbb{C}) \cap \mathcal{M}_g(\mathbb{C})$  be non-identically zero. If  $f'P'(f)$  and  $g'P'(g)$  share  $\alpha$  C.M., then  $f = g$ .

**Corollary 1.5.** Let  $P \in \mathbb{C}[X]$  satisfy  $\Phi(P) \geq 4$  and Hypothesis (G), let  $P' = b \prod_{i=1}^l (X - a_i)^{k_i}$ ,  $k_i \geq k_{i+1}, 2 \leq i \leq l - 1$ , let  $k = \sum_{i=2}^l k_i$ , and for each  $m \in \mathbb{N}$ , let  $u_m$  be the biggest of the  $i$  such that  $k_i > 4$ , let  $s_5 = \max(0, u_5 - 3)$  and for every  $m \geq 6$ , let  $s_m = \max(0, u_m - 2)$ . Suppose  $P$  satisfies the following conditions:

- (1)  $k_1 \geq 10 + \max(0, 5 - k_2) + \sum_{i=3}^l \max(0, 4 - k_i) - \min(2l, \sum_{m=5}^{\infty} s_m)$ ,
- (2)  $k_1 \neq k + 1$ .

Let  $f, g \in \mathcal{M}(\mathbb{C})$  and let  $\alpha \in \mathcal{M}_f(\mathbb{C}) \cap \mathcal{M}_g(\mathbb{C})$  be non-identically zero. If  $f'P'(f)$  and  $g'P'(g)$  share  $\alpha$  C.M., then  $f = g$ .

As noticed in [5], if  $f, g$  belong to  $\mathcal{M}(\mathbb{K})$  and if  $\alpha$  is a constant or a Moebius function, we can get a more accurate statement:

**Theorem 1.9.** Let  $P$  be a polynomial of uniqueness for  $\mathcal{M}(\mathbb{K})$ , let  $P' = b \prod_{i=1}^l (x - a_i)^{k_i}$  with  $b \in \mathbb{K}^*, l \geq 2, k_i \geq k_{i+1}, 2 \leq i \leq l - 1$ , let  $k = \sum_{i=2}^l k_i$ , and for each  $m \in \mathbb{N}$ , let  $u_m$  be the biggest of the  $i$  such that  $k_i > 4$ , let  $s_5 = \max(0, u_5 - 3)$  and for every  $m \geq 6$ , let  $s_m = \max(0, u_m - 2)$ . Suppose  $P$  satisfies the following conditions:

- (1)  $k_1 \geq 9 + \max(0, 5 - k_2) + \sum_{i=3}^l \max(0, 4 - k_i) - \min(2l - 1, \sum_{m=5}^{\infty} s_m)$ ,
- (2) either  $k_1 \geq k + 2$  or  $P$  satisfies (G),
- (3) if  $l = 2$ , then  $k_1 \neq k + 1, 2k, 2k + 1, 3k + 1$ ,
- (4) if  $l = 3$ , then  $k_1 \neq k/2, k + 1, 2k + 1, 3k_i - k, \forall i = 2, 3$ .

Let  $f, g \in \mathcal{M}(\mathbb{K})$  be transcendental and let  $\alpha$  be a Moebius function. If  $f'P'(f)$  and  $g'P'(g)$  share  $\alpha$  C.M., then  $f = g$ .

By Theorem 1.4, we can derive Corollary 1.6.

**Corollary 1.6.** Let  $P \in \mathbb{K}[x]$  satisfy  $\Phi(P) \geq 3$ , let  $P' = b \prod_{i=1}^l (x - a_i)^{k_i}$  with  $b \in \mathbb{K}^*$ ,  $l \geq 3$ ,  $k_i \geq k_{i+1}$ ,  $2 \leq i \leq l-1$ , let  $k = \sum_{i=2}^l k_i$ , and for each  $m \in \mathbb{N}$ , let  $u_m$  be the biggest of the  $i$  such that  $k_i > 4$ , let  $s_5 = \max(0, u_5 - 3)$  and for every  $m \geq 6$ , let  $s_m = \max(0, u_m - 2)$ . Suppose  $P$  satisfies the following conditions:

- (1)  $k_1 \geq 9 + \max(0, 5 - k_2) + \sum_{i=3}^l \max(0, 4 - k_i) - \min(2l - 1, \sum_{m=5}^{\infty} s_m)$ ,
- (2) either  $k_1 \geq k + 2$  or  $P$  satisfies (G),
- (3) if  $l = 3$ , then  $k_1 \neq k/2, k + 1, 2k + 1, 3k_i - k, \forall i = 2, 3$ .

Let  $f, g \in \mathcal{M}(\mathbb{K})$  be transcendental and let  $\alpha$  be a Moebius function. If  $f'P'(f)$  and  $g'P'(g)$  share  $\alpha$  C.M., then  $f = g$ .

And by Theorem 1.7, we have Corollary 1.7.

**Corollary 1.7.** Let  $P \in \mathbb{K}[x]$  be such that  $P'$  is of the form  $b(x - a_1)^n(x - a_2)^k$  with  $k \leq n$ ,  $\min(k, n) \geq 2$  and with  $b \in \mathbb{K}^*$ . Suppose  $P$  satisfies the following conditions:

- (1)  $n \geq 9 + \max(0, 5 - k)$ ,
- (2) either  $n \geq k + 2$  or  $P$  satisfies (G),
- (3)  $n \neq k + 1, 2k, 2k + 1, 3k + 1$ .

Let  $f, g \in \mathcal{M}(\mathbb{K})$  be transcendental and let  $\alpha$  be a Moebius function. If  $f'P'(f)$  and  $g'P'(g)$  share  $\alpha$  C.M., then  $f = g$ .

**Theorem 1.10.** Let  $P$  be a polynomial of uniqueness for  $\mathcal{M}(\mathbb{K})$ , let  $P' = b \prod_{i=1}^l (x - a_i)^{k_i}$  with  $b \in \mathbb{K}^*$ ,  $l \geq 2$ ,  $k_i \geq k_{i+1}$ ,  $2 \leq i \leq l-1$ , let  $k = \sum_{i=2}^l k_i$ , and for each  $m \in \mathbb{N}$ , let  $u_m$  be the biggest of the  $i$  such that  $k_i > 4$ , let  $s_5 = \max(0, u_5 - 4)$  and for every  $m \geq 6$ , let  $s_m = \max(0, u_m - 3)$ . Suppose  $P$  satisfies the following conditions:

- (1) either  $k_1 \geq k + 2$  or  $P$  satisfies (G),
- (2)  $k_1 \geq 9 + \max(0, 5 - k_2) + \sum_{i=3}^l \max(0, 4 - k_i) - \min(2l - 1, \sum_{m=5}^{\infty} s_m)$ ,
- (3)  $k_1 \neq k + 1$ .

Let  $f, g \in \mathcal{M}(\mathbb{K})$  be transcendental and let  $\alpha$  be a non-zero constant. If  $f'P'(f)$  and  $g'P'(g)$  share  $\alpha$  C.M., then  $f = g$ .

By Theorem 1.4, we can derive Corollary 1.8.

**Corollary 1.8.** Let  $P \in \mathbb{K}[x]$  satisfy  $\Phi(P) \geq 3$ , let  $P' = b \prod_{i=1}^l (x - a_i)^{k_i}$  with  $b \in \mathbb{K}^*$ ,  $l \geq 3$ ,  $k_i \geq k_{i+1}$ ,  $2 \leq i \leq l-1$ , let  $k = \sum_{i=2}^l k_i$ , and for each  $m \in \mathbb{N}$ , let  $u_m$  be the biggest of the  $i$  such that  $k_i > 4$ , let  $s_5 = \max(0, u_5 - 3)$  and for every  $m \geq 6$ , let  $s_m = \max(0, u_m - 2)$ . Suppose  $P$  satisfies the following conditions:

- (1)  $k_1 \geq k + 2$  or  $P$  satisfies Hypothesis (G),
- (2)  $k_1 \geq 9 + \max(0, 5 - k_2) + \sum_{i=3}^l \max(0, 4 - k_i) - \min(2l - 1, \sum_{m=5}^{\infty} s_m)$ .

Let  $f, g \in \mathcal{M}(\mathbb{K})$  be transcendental and let  $\alpha$  be a non-zero constant. If  $f'P'(f)$  and  $g'P'(g)$  share  $\alpha$  C.M., then  $f = g$ .



And by Theorem 1.2, we have Corollary 1.9.

**Corollary 1.9.** Let  $P \in \mathbb{K}[x]$  be such that  $P'$  is of the form  $b(x - a_1)^n(x - a_2)^k$  with  $\min(k, n) \geq 2$  and with  $b \in \mathbb{K}^*$ . Suppose  $P$  satisfies the following conditions:

- (1)  $n \geq 9 + \max(0, 5 - k)$ ,
- (2) either  $n \geq k + 2$  or  $P$  satisfies (G),
- (3)  $n \neq k + 1$ .

Let  $f, g \in \mathcal{M}(\mathbb{K})$  be transcendental and let  $\alpha$  be a non-zero constant. If  $f'P'(f)$  and  $g'P'(g)$  share  $\alpha$  C.M., then  $f = g$ .

**Example 1.3.** Let

$$P(X) = \frac{X^{24}}{24} - \frac{10X^{23}}{23} + \frac{36X^{22}}{22} - \frac{40X^{21}}{21} - \frac{74X^{20}}{20} + \frac{226X^{19}}{19} - \frac{84X^{18}}{18} - \frac{312X^{17}}{17} + \frac{321X^{16}}{16} + \frac{88X^{15}}{15} - \frac{280X^{14}}{14} + \frac{48X^{13}}{13} + \frac{80X^{12}}{12} - \frac{32X^{11}}{11}.$$

We can check that  $P'(X) = X^{10}(X - 2)^5(X + 1)^4(X - 1)^4$ . Next, we have  $P(2) < -134378$ ,  $P(1) \in [-2, 11; -2, 10]$ ,  $P(-1) \in [2, 18; 2, 19]$ . Therefore,  $P(0), P(1), P(-1), P(2)$  are all distinct, hence  $\Phi(P) = 4$ . Moreover, Hypothesis (G) is satisfied.

Now, let  $f, g \in \mathcal{M}(\mathbb{K})$  (resp. let  $f, g \in \mathcal{M}_u(d(a, R^-))$ ), resp. let  $f, g \in \mathcal{M}(\mathbb{C})$  and let  $\alpha \in \mathcal{M}(\mathbb{K})$  (resp. let  $\alpha \in \mathcal{M}(d(a, R^-))$ ), resp. let  $\alpha \in \mathcal{M}(\mathbb{C})$  be non-identically zero. If  $f'P'(f)$  and  $g'P'(g)$  share  $\alpha$  C.M., then  $f = g$ .

Particularly, when  $f, g$  are entire functions in  $\mathbb{C}$  we can simplify the hypothesis:

**Theorem 1.11.** Let  $P$  be a polynomial of uniqueness for  $\mathcal{A}(\mathbb{C})$  with  $l \geq 2$  and  $k_i \geq k_{i+1}$ ,  $1 \leq i \leq l - 1$  when  $l > 2$  and let  $k = \sum_{i=2}^l k_i$ , let  $u_5$  be the biggest of the  $i$  such that  $k_i > 4$  and let  $s_5 = \max(0, u_5 - 3)$  and for each  $m \in \mathbb{N}$ , let  $u_m$  be the biggest of the  $i$  such that  $k_i > m$  and let  $s_m = \max(0, u_m - 2)$ . Suppose  $P$  satisfies the following conditions:

- (1)  $k_1 \geq k + 2$  or  $P$  satisfies hypothesis (G),
- (2)  $k_1 \geq 5 + \max(0, 5 - k_2) + \sum_{i=3}^l \max(0, 4 - k_i) - \min(2l - 3, \sum_{m=5}^\infty s_m)$ .

Let  $f, g \in \mathcal{A}(\mathbb{C})$  be transcendental and let  $\alpha \in \mathcal{A}_f(\mathbb{C}) \cap \mathcal{A}_g(\mathbb{C})$  be non-identically zero. If  $f'P'(f)$  and  $g'P'(g)$  share  $\alpha$  C.M., then  $f = g$ .

By Proposition 1.2, we have Corollaries 1.10 and 1.11.

**Corollary 1.10.** Let  $P \in \mathbb{C}[X]$ , let  $P' = b \prod_{i=1}^l (X - a_i)^{k_i}$  with  $b \in \mathbb{C}^*$ ,  $k_i \geq k_{i+1}$ ,  $1 \leq i \leq l - 1$  and let  $k = \sum_{i=2}^l k_i$ , let  $u_5$  be the biggest of the  $i$  such that  $k_i > 4$  and let  $t_5 = u_5 - 3$  and for each  $m \in \mathbb{N}$ , let  $u_m$  be the biggest of the  $i$  such that  $k_i > m$  and let  $t_m = \max(0, u_m - 2)$ . Suppose  $P$  satisfies the following conditions:

- (1) either  $k_1 \geq k + 2$  or  $P$  satisfies hypothesis (G),
- (2)  $k_1 \geq 5 + \max(0, 5 - k_2) + \sum_{i=3}^l \max(0, 4 - k_i) - \min(2l - 3, \sum_{m=5}^\infty s_m)$ .

Let  $f, g \in \mathcal{M}(\mathbb{C})$  be transcendental and let  $\alpha \in \mathcal{M}_f(\mathbb{C}) \cap \mathcal{M}_g(\mathbb{C})$  be non-identically zero. If  $f'P'(f)$  and  $g'P'(g)$  share  $\alpha$  C.M., then  $f = g$ .

**Corollary 1.11.** Let  $P \in \mathbb{C}[X]$  and let  $P' = b(X - a_1)^n(X - a)^k$  with  $\min(k, n) \geq 2$  and  $\max(n, k) \geq 3$ . Suppose that  $P$  satisfies  $n \geq 5 + \max(0, 5 - k)$ .

Let  $f, g \in \mathcal{A}(\mathbb{C})$  be transcendental and let  $\alpha \in \mathcal{A}_f(\mathbb{C}) \cap \mathcal{A}_g(\mathbb{C})$  be non-identically zero. If  $f'P'(f)$  and  $g'P'(g)$  share  $\alpha$  C.M., then  $f = g$ .

**Example 1.4.** Let

$$P(X) = \frac{X^{11}}{11} + \frac{5X^{10}}{10} + \frac{10X^9}{9} + \frac{10X^8}{8} + \frac{5X^7}{7} + \frac{X^6}{6}.$$

Then  $P'(X) = X^5(X + 1)^5$ . We can apply Corollary 1.11 given  $f, g \in \mathcal{A}(\mathbb{C})$  transcendental such that  $f'P'(f)$  and  $g'P'(g)$  share a small function  $\alpha \in \mathcal{M}(\mathbb{C})$  C.M., we have  $f = g$ .

## 2 The proofs

**Notation 2.1.** As usual, given a function  $f \in \mathcal{M}(\mathbb{E})$  (resp.  $\mathcal{M}(d(0, R^-))$ ), we denote by  $S_f(r)$  a function of  $r$  defined in  $[0, +\infty]$  (resp. in  $[0, R]$ ), such that

$$\lim_{r \rightarrow +\infty} \frac{S_f(r)}{T(r, f)} = 0, \quad \text{resp.} \quad \lim_{r \rightarrow R} \frac{S_f(r)}{T(r, f)} = 0.$$

We must recall the classical Nevanlinna Main Theorem:

**Theorem 2.1** (see [7, 12]). Let  $a_1, \dots, a_n \in \mathbb{K}$  (resp.  $a_1, \dots, a_n \in \mathbb{K}$ , resp.  $a_1, \dots, a_n \in \mathbb{C}$ ) with  $n \geq 2$ ,  $n \in \mathbb{N}$ , and let  $f \in \mathcal{M}(\mathbb{K})$  (resp. let  $f \in \mathcal{M}(d(0, R^-))$ , resp. let  $f \in \mathcal{M}(\mathbb{C})$ ). Let  $S = \{a_1, \dots, a_n\}$ . Then, for  $r > 0$  we have

$$(n - 1)T(r, f) \leq \sum_{j=1}^n \bar{Z}(r, f - a_j) + \bar{N}(r, f) - \log r + \mathcal{O}(1),$$

resp.

$$(n - 1)T(r, f) \leq \sum_{j=1}^n \bar{Z}(r, f - a_j) + \bar{N}(r, f) + \mathcal{O}(1),$$

resp.

$$(n - 1)T(r, f) \leq \sum_{j=1}^n \bar{Z}(r, f - a_j) + \bar{N}(r, f) + S_f(r).$$

Let us recall the following corollary of the Nevanlinna Second Main Theorem on three small function:

**Theorem 2.2.** Let  $f \in \mathcal{A}(\mathbb{K})$  (resp. let  $f \in \mathcal{A}(d(0, R^-))$ , resp. let  $f \in \mathcal{A}(\mathbb{C})$ ) and let  $u \in f \in \mathcal{A}_f(\mathbb{K})$  (resp. let  $u \in \mathcal{A}_f(d(0, R^-))$ , resp.  $u \in f \in \mathcal{A}_f(\mathbb{C})$ ). Then  $T(r, f) \leq \bar{Z}(r, f) + \bar{Z}(r, f - u) + S_f(r)$ .

In order to prove Theorem 2.3, we need additional lemmas:

**Notation 2.2.** Let  $f \in \mathcal{M}(d(a, R^-))$ , and let  $r \in [0, R]$ . By classical results [8, 10] we know that  $|f(x)|$  has a limit when  $|x|$  tends to  $r$ , while being different from  $r$ .

We set  $|f|(r) = \lim_{|x| \rightarrow r, |x| \neq r} |f(x)|$ .

**Lemma 2.1.** For every  $r \in [0, R]$ , the mapping  $|\cdot|(r)$  is an ultrametric multiplicative norm on  $\mathcal{M}(d(0, R^-))$ .

The following Lemma 2.2 is the  $p$ -adic Schwarz formula:

**Lemma 2.2.** Let  $f \in \mathcal{A}(\mathbb{K})$  (resp.  $f \in \mathcal{A}(d(0, R^-))$ ) and let  $r', r'' \in [0, +\infty]$  (resp. let  $r', r'' \in [0, R]$ ) satisfy  $r' < r''$ . Then  $\log(|f|(r'')) - \log(|f|(r')) = Z(r'', f) - Z(r', f)$ .

**Lemma 2.3.** Let  $f \in \mathcal{M}(\mathbb{K})$  (resp.  $f \in \mathcal{M}(d(0, R^-))$ ). Suppose that there exists  $a \in \mathbb{K}$  and a sequence of intervals  $I_n = [u_n, v_n]$  such that  $u_n < v_n < u_{n+1}$ ,  $\lim_{n \rightarrow +\infty} u_n = +\infty$  (resp.  $\lim_{n \rightarrow +\infty} u_n = R$ ) and  $\lim_{n \rightarrow +\infty} \inf_{r \in I_n} qT(r, f) - Z(r, f - a) = +\infty$ . Set  $L = \bigcup_{n=0}^{+\infty} I_n$ . Let  $b \in \mathbb{K}$ ,  $b \neq a$ . Then  $Z(r, f - b) = T(r, f) + \mathcal{O}(1)$ ,  $\forall r \in L$ .

*Proof.* We know that the Nevanlinna functions of a meromorphic function  $f$  are the same in  $\mathbb{K}$  and in an algebraically closed complete extension of  $\mathbb{K}$  whose absolute value extends that of  $\mathbb{K}$ . Consequently, without loss of generality, we can suppose that  $\mathbb{K}$  is spherically complete because we know that such a field does admit a spherically complete algebraically closed extension whose absolute value expands that of  $\mathbb{K}$ . If  $f$  belongs to  $\mathcal{M}(\mathbb{K})$ , we can obviously set it in the form  $g/h$ , where  $g, h$  belong to  $\mathcal{A}(\mathbb{K})$  and have no common zero. Next, since  $\mathbb{K}$  is supposed to be spherically complete, if  $f$  belongs to  $\mathcal{M}(d(0, R^-))$  we can also set it in the form  $g/h$  where  $g, h$  belong to  $\mathcal{A}(d(0, R^-))$  and have no common zero [8, 10]. Consequently, we have  $T(r, f) = \max(Z(r, g), Z(r, h))$ .

By hypothesis we have

$$\lim_{n \rightarrow +\infty} \left( \inf_{r \in I_n} T(r, f) - Z(r, f - a) \right) = +\infty,$$

i.e.,

$$\lim_{n \rightarrow +\infty} \left( \inf_{r \in I_n} T(r, f) - Z(r, f - a) \right) = +\infty,$$

i.e.,

$$\lim_{n \rightarrow +\infty} \left( \inf_{r \in I_n} \max(Z(r, g), Z(r, h)) - Z(r, g - ah) \right) = +\infty.$$

Set

$$B_n = \inf_{r \in I_n} \max(Z(r, g), Z(r, h)) - Z(r, g - ah).$$

Since the sequence  $B_n$  tends to  $+\infty$ , clearly, by Lemma 2.2, the sequence  $(D_n)$  defined as

$$D_n = \sup_{r \in I_n} \left( \frac{|g - ah|(r)}{\max(|g|(r), |h|(r))} \right)$$

tends to zero. Therefore, by Lemma 2.1, we have  $|g|(r) = |ah|(r)$  in  $I_n$  when  $n$  is big enough. Consequently, by Lemma 2.2, we have  $Z(r, g) = Z(r, ah) + \mathcal{O}(1)$ ,  $\forall r \in L$  and hence  $T(r, f) = Z(r, h) + \mathcal{O}(1) = Z(r, g) + \mathcal{O}(1)$ ,  $\forall r \in L$ .

Now, consider  $g - bh = g - ah + (a - b)h$ . By hypothesis we have

$$\lim_{n \rightarrow +\infty} \left( \inf_{r \in I_n} Z(r, h) - Z(r, g - ah) \right) = +\infty.$$

On the other hand, of course  $Z(r, (a - b)h) = Z(r, h) + \mathcal{O}(1)$ . Consequently, since  $Z(r, g - bh) = Z(r, g - ah + (a - b)h)$ , we have

$$\lim_{n \rightarrow +\infty} \left( \inf_{r \in I_n} (Z(r, (a - b)h) - Z(r, g - ah)) \right) = +\infty.$$

Consider now the sequence  $(E_n)$  defined as

$$E_n = \sup_{r \in I_n} \left( \frac{|g - ah|(r)}{|(a - b)h|(r)} \right).$$

By Lemma 2.2, that sequence tends to zero and hence, when  $r$  is big enough in  $L$ , by Lemma 2.1 we have  $|g - bh|(r) = |a - bh|(r)$ . Consequently, when  $r$  is big enough in  $L$ , we have  $Z(r, g - bh) = Z(r, bh) = Z(r, h) + \mathcal{O}(1)$ . Moreover, we have seen that  $Z(r, g) = Z(r, h) + \mathcal{O}(1)$  in  $L$ , hence  $\max(Z(r, g), Z(r, h)) = Z(r, g - bh) + \mathcal{O}(1) = \max(Z(r, g - bh), Z(r, h) + \mathcal{O}(1))$ , i.e.,  $T(r, f) = T(r, f - b) + \mathcal{O}(1)$  in  $L$ .  $\square$

The second Main Theorem is well known in complex and  $p$ -adic analysis and is recalled below. But first, we can give here a new theorem of that kind which will be efficient in Theorems 1.8-1.10.

**Theorem 2.3.** *Let  $f \in \mathcal{M}(\mathbb{K})$  (resp.  $f \in \mathcal{M}(d(0, R^-))$ ) and let  $a_1, \dots, a_q \in \mathbb{K}$  be distinct. Then  $(q - 1)T(r, f) \leq \sum_{j=1}^q Z(r, f - a_j) + \mathcal{O}(1)$ .*

*Proof.* Suppose the theorem is wrong. There exists  $f \in \mathcal{M}(\mathbb{K})$  (resp.  $f \in \mathcal{M}(d(0, R^-))$ ) and  $a_1, \dots, a_q$  such that  $(q - 1)T(r, f) - \sum_{j=1}^q Z(r, f - a_j)$  admits no superior bound in  $[0, +\infty]$ . So, there exists a sequence of intervals  $J_s = [w_s, y_s]$  such that  $w_s < y_s < w_{s+1}$ ,  $\lim_{s \rightarrow +\infty} w_s = +\infty$  (resp.  $\lim_{s \rightarrow +\infty} w_s = R$ ) and

$$\lim_{s \rightarrow +\infty} \left( \inf_{r \in J_s} (q - 1)T(r, f) - \sum_{j=1}^q Z(r, f - a_j) \right) = +\infty. \tag{2.1}$$

Let  $M = \bigcup_{s=0}^{\infty} J_s$ . For each  $j = 1, \dots, q$ , we have  $Z(r, f - a_j) \leq T(r, f) + \mathcal{O}(1)$  in  $\mathbb{R}_+$  and hence (2.4) implies that there exists an index  $t$  and a sequence of intervals  $I_n = [u_n, v_n]$  included in  $M$ , such that  $u_n < v_n < u_{n+1}$ ,  $\lim_{n \rightarrow +\infty} u_n = +\infty$  (resp.  $\lim_{n \rightarrow +\infty} u_n = R$ ) and

$$\lim_{n \rightarrow +\infty} \left( \inf_{r \in I_n} (T(r, f) - Z(r, f - a_t)) \right) = +\infty. \tag{2.2}$$

Let  $L = \bigcup_{n=1}^{\infty} I_n$ . Then by Lemma 2.3, in  $L$  we have  $Z(r, g - a_k h) = T(r, f) + \mathcal{O}(1)$ ,  $\forall k \neq t$ . Therefore  $\sum_{j=1}^q Z(r, f - a_j) \geq (q-1)T(r, f) + \mathcal{O}(1)$  in  $L$ , a contradiction to (2.4). Consequently, the Theorem is not wrong.  $\square$

**Remark 2.1.** Theorem 2.3 is trivial for analytic functions since by definition, for a function  $f \in \mathcal{A}(\mathbb{K})$  or  $\mathcal{A}(d(0, R^-))$  we have  $T(r, f) = Z(r, f)$ . On the other hand, the theorem does not apply to meromorphic functions in  $\mathbb{C}$ . Indeed, consider a meromorphic function  $f$  on  $\mathbb{C}$  omitting two values  $a$  and  $b$ . We have  $Z(r, f - a) + Z(r, f - b) = 0$ .

In the proof of Theorems 1.7-1.11 will need the following Lemmas:

**Lemma 2.4.** Let  $Q \in \mathbb{K}[x]$  (resp.  $Q \in \mathbb{K}[X]$ , resp.  $Q \in \mathbb{C}[x]$ ) be of degree  $n$  and let  $f \in \mathcal{M}(\mathbb{K})$ , (resp.  $f \in \mathcal{M}(d(0, R^-))$ , resp.  $f \in \mathcal{M}(\mathbb{C})$ ) be transcendental. Then

$$N(r, f') = N(r, f) + \bar{N}(r, f), \quad Z(r, f') \leq Z(r, f) + \bar{N}(r, f) + \mathcal{O}(1),$$

$$nT(r, f) \leq T(r, f'Q(f)) \leq (n+2)T(r, f) - \log r + \mathcal{O}(1),$$

resp.

$$nT(r, f) \leq T(r, f'Q(f)) \leq (n+2)T(r, f) + \mathcal{O}(1),$$

resp.

$$nT(r, f) \leq T(r, f'Q(f)) + m(r, 1/f') \leq (n+2)T(r, f) + S_f(r).$$

Particularly, if  $f \in \mathcal{A}(\mathbb{K})$ , resp.

$$f \in \mathcal{A}(d(0, R^-)),$$

then

$$nT(r, f) \leq T(r, f'Q(f)) \leq (n+1)T(r, f) - \log r + \mathcal{O}(1),$$

resp.

$$nT(r, f) \leq T(r, f'Q(f)) \leq (n+1)T(r, f) + \mathcal{O}(1).$$

Let us recall the following corollary of the Nevanlinna Second Main Theorem on three small function:

**Lemma 2.5.** Let  $Q(X) \in \mathbb{K}[X]$  and let  $f, g \in \mathcal{A}(\mathbb{K})$  (resp. let  $f, g \in \mathcal{A}_u(d(0, R^-))$ ) be such that  $Q(f) - Q(g)$  is bounded. Then  $f = g$ .

*Proof.* The polynomial  $Q(X) - Q(Y)$  factorizes in the form  $(X - Y)F(X, Y)$  with  $F(X, Y) \in \mathbb{K}[X, Y]$ . Since  $Q(f) - Q(g)$  is bounded, so are both factors because the semi-norm  $|\cdot|(r)$  is multiplicative on  $\mathcal{A}(\mathbb{K})$  (resp. on  $\mathcal{A}_u(d(0, R^-))$ ). Consequently,  $f - g$  is a constant  $c$  (resp. is a bounded function  $u \in \mathcal{A}_b(d(0, R^-))$ ). Therefore  $F(f, g) = F(f, f+c)$  (resp.  $F(f, g) = F(f; f+u)$ ). Let  $n = \deg(Q)$ . Then we can check that  $F(X, X+c)$  is a polynomial in  $X$  of degree  $n-1$ . Consequently, if  $f \in \mathcal{A}(\mathbb{K})$ ,  $F(f, f+c)$  is a non-constant entire function and therefore is unbounded in  $\mathbb{K}$ . Similarly,  $f \in (d(0, R^-))$ ,  $F(X, X+u)$  is a polynomial in  $X$  of degree  $n-1$  with coefficients in  $\mathcal{A}(d(0, R^-))$  and therefore  $F(f, f+u)$  is unbounded in  $d(0, R^-)$ , which ends the proof.  $\square$

*Proof of Theorem 1.6.* Without loss of generality, we may assume that  $b = 1$ . Put  $F = f' \prod_{j=1}^l (f - a_j)^{k_j}$  and  $G = g' \prod_{j=1}^l (g - a_j)^{k_j}$ . Since  $f, g \in \mathcal{A}(\mathbb{K})$  and since  $F$  and  $G$  share  $\alpha$  C.M., then  $(F - \alpha)/(G - \alpha)$  is a meromorphic function having no zeros and no pole in  $\mathbb{K}$  (resp. in  $d(0, R^-)$ ), hence it is a constant  $u$  in  $\mathbb{K} \setminus \{0\}$  (resp. it is an invertible function  $u \in \mathcal{A}(d(0, R^-))$ ).

Suppose  $u \neq 1$ . Then,

$$F = uG + \alpha(1 - c). \quad (2.3)$$

Let  $r > 0$ . Since  $\alpha(1 - u) \in \mathcal{A}_f(\mathbb{K})$  (resp.  $\alpha(1 - u) \in \mathcal{A}_f(d(0, R^-))$ ),  $\alpha(1 - u)$  obviously belongs to  $\mathcal{A}_F(\mathbb{K})$  (resp. to  $\mathcal{A}_F(d(0, R^-))$ ). So, applying Theorem 2.2 to  $F$ , we obtain

$$\begin{aligned} T(r, F) &\leq \bar{Z}(r, F) + \bar{Z}(r, F - \alpha(1 - c)) + S_F(r) = \bar{Z}(r, F) + \bar{Z}(G) + S_F(r) \\ &= \sum_{j=1}^l \bar{Z}(r, (f - a_j)^{k_j}) + \bar{Z}(r, f') + \sum_{j=1}^l \bar{Z}(r, (g - a_j)^{k_j}) + \bar{Z}(r, g') + S_f(r) \\ &\leq l(T(r, f) + T(r, g)) + Z(r, f') + Z(r, g') + S_f(r). \end{aligned}$$

We also notice that if  $f, g \in \mathcal{A}(\mathbb{K})$  and if  $\alpha \in \mathbb{K}$ , we have

$$T(r, F) \leq \bar{Z}(r, F) + \bar{Z}(r, F - \alpha(1 - c)) - \log r + \mathcal{O}(1)$$

and therefore we obtain

$$T(r, F) \leq l(T(r, f) + T(r, g)) + Z(r, f') + Z(r, g') - \log r + \mathcal{O}(1).$$

Now, let us go back to the general case. Since  $f$  is entire, by Lemma 2.4 we have,

$$T(r, F) = \left( \sum_{j=1}^l k_j \right) T(r, f) + Z(r, f') + \mathcal{O}(1).$$

Consequently,

$$\left( \sum_{j=1}^l k_j \right) T(r, f) \leq l(T(r, f) + T(r, g)) + Z(r, g') + S_f(r).$$

Similarly,

$$\left( \sum_{j=1}^l k_j \right) T(r, g) \leq l(T(r, g) + T(r, g)) + Z(r, f') + S_f(r).$$

Therefore

$$\begin{aligned} \left( \sum_{j=1}^l k_j \right) (T(r, f) + T(r, g)) &\leq 2l(T(r, f) + T(r, g)) + Z(r, f') + Z(r, g') + S_f(r) \\ &\leq (2l + 1)(T(r, f) + T(r, g)) + S_f(r). \end{aligned}$$

So,

$$\sum_{j=1}^l k_j \leq 2l + 1.$$

Thus, since  $\sum_{j=1}^l k_j > 2l + 1$ , we have  $u = 1$ .

And if  $\alpha \in \mathbb{K}$ , we obtain,

$$\begin{aligned} \sum_{j=1}^l k_j (T(r, f) + T(r, g)) &\leq 2l(T(r, f) + T(r, g)) + Z(r, f') + Z(r, g') + S_f(r) \\ &\leq (2l + 1)(T(r, f) + T(r, g)) - 3\log r + \mathcal{O}(1), \end{aligned}$$

because  $T(r, f') \leq T(r, f) - \log r + \mathcal{O}(1)$ , hence  $\sum_{j=1}^l k_j \leq 2l$  which also contradicts the hypothesis  $c \neq 1$  whenever  $\sum_{j=1}^l k_j > 2l$ .

Consequently, in the general case, whenever  $\sum_{j=1}^l k_j > 2l + 1$ , we have  $u = 1$  and therefore  $f'P'(f) = g'P'(g)$  hence  $P(f) - P(g)$  is a constant  $D$ . But then by Lemma 2.5, we have  $P(f) = P(g)$ . And since  $P$  is a polynomial of uniqueness for  $\mathcal{A}(\mathbb{K})$  (resp. for  $\mathcal{A}(d(0, R^-))$ ), we can conclude  $f = g$ . Similarly, if  $f, g \in \mathcal{A}(\mathbb{K})$  and if  $\alpha$  is a non-zero constant, we have  $u = 1$  whenever  $\sum_{j=1}^l k_j > 2l$  and we conclude in the same way.  $\square$

On  $\mathbb{K}$ , we have this theorem from results of [4]:

**Theorem 2.4.** Let  $P, Q \in \mathbb{K}[x]$  satisfy one of the following two statements:

$$\begin{aligned} \sum_{a_i \in F'} k_i \geq s - m + 2 \quad (\text{resp. } \sum_{a_i \in \Delta} k_i \geq s - m + 3), \\ \sum_{b_j \in F''} q_j \geq 2 \quad (\text{resp. } \sum_{b_i \in \Lambda} q_j \geq 3). \end{aligned}$$

If two meromorphic functions  $f, g \in \mathcal{M}(\mathbb{K})$  (resp.  $f, g \in \mathcal{M}(d(a, R^-))$ ) satisfy  $P(f(x)) = Q(g(x))$ ,  $x \in \mathbb{K}$ , (resp.  $x \in d(a, R^-)$ ) then both  $f$  and  $g$  are constant (resp. belong to  $\mathcal{M}_b(d(a, R^-))$ ).

And on  $\mathbb{C}$ , we have this theorem from results of [3]:

**Theorem 2.5.** Let  $P, Q \in \mathbb{C}[X]$  satisfy one of the following two conditions:

$$\sum_{a_i \in F'} k_j \geq s - m + 3, \quad \sum_{b_j \in F''} q_i \geq 3,$$

and if the polynomial  $P(X) - Q(Y)$  has no factor of degree 1, then there is no non-constant function  $f, g \in \mathcal{M}(\mathbb{C})$  such that  $P(f(x)) - Q(g(x)) = 0, \forall x \in \mathbb{C}$ .

From Theorem 2.5 we can derive the following Theorem 2.6:

**Theorem 2.6.** Let  $P, Q \in \mathbb{C}[X]$  satisfy one of the following two conditions:

$$\sum_{a_i \in F'} k_i \geq s - m + 3, \quad \sum_{b_j \in F''} q_j \geq 3.$$

Then there is no non-constant function  $f, g \in \mathcal{M}(\mathbb{C})$  such that  $P(f(x)) - Q(g(x)) = 0, \forall x \in \mathbb{C}$ .

*Proof.* Let  $F(X, Y) = P(X) - Q(Y)$ . Since  $\mathbb{C}$  is algebraically isomorphic to an ultrametric field such as  $\mathbb{C}_p$  (with  $p$  any prime integer), without loss of generality we can transfer the problem onto the field  $\mathbb{C}_p$ . So, the image of the polynomial  $F$  in  $\mathbb{C}_p[X, Y]$  is a polynomial  $\tilde{F}(X, Y)$ .

Thus, the hypothesis  $\sum_{a_i \in F'} k_i \geq s - m + 3$  still holds in  $\mathbb{C}_p$  and similarly, for the hypothesis  $\sum_{b_j \in F''} q_j \geq 3$ . Suppose for instance  $\sum_{a_i \in F'} k_i \geq s - m + 3$ . By Theorem 2.5, there is no pair of non-constant functions  $f, g \in \mathcal{M}(\mathbb{C}_p)$  such that  $P(f(x)) - Q(g(x)) = 0$ . Particularly,  $\tilde{F}(X, Y)$  admits no factor of degree 1 in  $\mathbb{C}_p[X, Y]$ . But then,  $F(X, Y)$  does not admit a factor of degree 1 in  $\mathbb{C}[X, Y]$  either, because the factorization is conserved by a transfer. Now, we can apply Theorem 2.5 proving that when two functions  $f, g \in \mathcal{M}(\mathbb{C})$  satisfy  $P(f(x)) = Q(g(x))$ ,  $\forall x \in \mathbb{C}$ , then they are constant.  $\square$

*Proof of Proposition 1.1.* Suppose that two functions  $f, g \in \mathcal{M}(\mathbb{K})$  (resp.  $f, g \in \mathcal{M}(d(a, R^-))$ ) satisfy  $P(f(x)) = P(g(x)) + C$  ( $C \in \mathbb{K}$ ,  $\forall x \in \mathbb{K}$  (resp.  $\forall x \in d(a, R^-)$ )). We can apply Theorem 2.4 by putting  $Q(X) = P(X) + C$ . So, we have  $h = l$  and  $b_i = a_i$ ,  $i = 1, \dots, l$ . Let  $\Gamma$  be the curve of equation  $P(X) - P(Y) = C$ . By hypothesis we have  $n \geq 2$ , hence  $\deg(P) \geq 3$ , so  $\Gamma$  is of degree  $\geq 3$ . Therefore, if  $\Gamma$  has no singular point, it is of genus  $\geq 1$  and hence, by Picard-Berkovich Theorem, the conclusion is immediate. Consequently, we can assume that  $\Gamma$  has a singular point  $(\alpha, \beta)$ . But then  $P'(\alpha) = P'(\beta) = 0$  and hence  $(\alpha, \beta)$  is of the form  $(a_h, a_k)$ . Consequently,  $C = P(a_h) - P(a_k)$  and since  $C \neq 0$ , we have  $h \neq k$ . We will prove that either  $a_1 \in F'$ , or  $a_1 \in F''$ .

Suppose first that  $a_1 \notin F' \cup F''$ . Since  $a_1 \notin F'$ , there exists  $i \in \{2, \dots, l\}$  such that  $P(a_1) = P(a_i) + C$ . Now since  $1 \notin F''$ , there exists  $j \in \{2, \dots, l\}$  such that  $P(a_1) + C = P(a_j)$ . But since  $C = -P(a_i)$ , we have  $P(a_j) = -P(a_i)$ , therefore  $P(a_i) + P(a_j) = 0$ . Since  $P$  satisfies (G), we have  $i = j$ , hence  $P(a_i) = 0$ . But then  $C = 0$ , a contradiction. Therefore, we have proven that  $a_1 \in F' \cup F''$ . Now, by Theorem 2.4,  $f$  and  $g$  are constant (resp.  $f$  and  $g$  belong to  $\mathcal{M}_b(d(a, R^-))$ ).  $\square$

*Proof of Proposition 1.2.* Suppose that two functions  $f, g \in \mathcal{M}(\mathbb{C})$  satisfy  $P(f(x)) = P(g(x)) + C$  ( $C \in \mathbb{C}$ ,  $\forall x \in \mathbb{C}$ ). We will apply Theorem 2.6 by putting  $Q(X) = P(X) + C$ . Since  $n \geq 3$ , we have  $\deg(P) \geq 4$  and hence  $\Gamma$  is of degree  $\geq 4$ . Consequently, if  $\Gamma$  has no singular point, it has genus  $\geq 2$  and hence, by Picard's Theorem, there exist no functions  $f, g \in \mathcal{M}(\mathbb{C})$  such that  $P(f(x)) = P(g(x)) + C$ ,  $x \in \mathbb{C}$ . Consequently, we can assume that  $\Gamma$  admits a singular point  $(a_h, a_k)$ . The proof is then similar to that of Proposition 1.1.  $\square$

**Notation 2.3.** Let  $f \in \mathcal{M}(\mathbb{C})$  be such that  $f(0) \neq 0, \infty$ . We denote by  $Z_{[2]}(r, f)$  the counting function of the zeros of  $f$  each being counted with multiplicity when it is at most 2 and with multiplicity 2 when it is bigger.

The following basic lemma applies to both complex and meromorphic functions. A proof is given in [5] for  $p$ -adic meromorphic functions and in [6] for complex meromorphic functions.

The following Theorem 2.7 is indispensable in the proof of theorems:



**Theorem 2.7.** Let  $P(x) = (x - a_1)^n \prod_{i=2}^l (x - a_i)^{k_i} \in \mathbb{E}[x]$  ( $a_i \neq a_j, \forall i \neq j$ ) with  $l \geq 2$  and  $n \geq \max\{k_2, \dots, k_l\}$  and let  $k = \sum_{i=2}^l k_i$ . Let  $f, g \in \mathcal{M}(\mathbb{E})$  be transcendental (resp. let  $f, g \in \mathcal{M}(d(a, R^-))$ ) and let  $\theta = P(f)f'P(g)g'$ . If  $\theta$  belongs to  $\mathcal{M}_f(\mathbb{E}) \cap \mathcal{M}_g(\mathbb{E})$ , (resp. if  $\theta$  belongs to  $\mathcal{M}_f(d(a, R^-)) \cap \mathcal{M}_g(d(a, R^-))$ ) then we have the following:

- (a) if  $l = 2$  then  $n$  belongs to  $\{k, k+1, 2k, 2k+1, 3k+1\}$ ,
- (b) if  $l = 3$  then  $n$  belongs to  $\{k/2, k+1, 2k+1, 3k_2 - k, 3k_3 - k\}$ ,
- (c) if  $l \geq 4$  then  $n = k+1$ .

Moreover, if  $f, g$  belong to  $\mathcal{M}(\mathbb{K})$  and if  $\theta$  is a constant, then  $n = k+1$ . Further, if  $f, g$  belong to  $\mathcal{A}_f(\mathbb{E})$ , then  $\theta$  does not belong to  $\mathcal{A}_f(\mathbb{E})$ .

**Lemma 2.6.** Let  $f \in \mathcal{M}(\mathbb{K})$ , (resp.  $f \in \mathcal{M}(d^0, R^-)$ ), resp.  $f \in \mathcal{M}(\mathbb{C})$ ). Then

$$T(r, f) - Z(r, f) \leq T(r, f') - Z(r, f') + \mathcal{O}(1).$$

Now, we can extract the following Lemma 2.7 from a result that is proven in several papers and particularly in Lemma 3 [14] when  $\mathbb{E} = \mathbb{C}$  and, with precisions in Lemma 11 [5] when  $\mathbb{E} = \mathbb{K}$ . We put

$$\Psi_{F,G} = \frac{F''}{F'} - \frac{2F'}{F-1} - \frac{G''}{G'} + \frac{2G'}{G-1}.$$

**Lemma 2.7.** Let  $f, g \in \mathcal{M}(\mathbb{C})$  (resp.  $f, g \in \mathcal{M}(\mathbb{K})$ ) share the value 1 CM. If  $\Psi_{f,g}$  is not identically zero, then,

$$\max(T(r, f), T(r, g)) \leq N_{[2]}(r, f) + Z_{[2]}(r, f) + N_{[2]}(r, g) + Z_{[2]}(r, g) + S_f(r) + S_g(r),$$

resp.

$$\max(T(r, f), T(r, g)) \leq N_{[2]}(r, f) + Z_{[2]}(r, f) + N_{[2]}(r, g) + Z_{[2]}(r, g) - 6 \log r.$$

We will need the following Lemma 2.8:

**Lemma 2.8.** Let  $f, g \in \mathcal{M}(\mathbb{K})$  be transcendental (resp.  $f, g \in \mathcal{M}_u(d(0, R^-))$ ), resp.  $f, g \in \mathcal{M}(\mathbb{C})$ . Let  $P(x) = x^{n+1}Q(x)$  be a polynomial such that  $n \geq \deg(Q) + 2$  (resp.  $n \geq \deg(Q) + 3$ , resp.  $n \geq \deg(Q) + 3$ ). If  $P'(f)f' = P'(g)g'$  then  $P(f) = P(g)$ .

For simplicity, we can assume  $a_1 = 0$ . Set  $F = f'P'(f)/\alpha$  and  $G = g'P'(g)/\alpha$ . Clearly  $F$  and  $G$  share the value 1 C.M..

Since  $f, g$  are transcendental, we notice that so are  $F$  and  $G$ . We will prove that under the hypotheses of Theorems,  $\Psi_{F,G}$  is identically zero.

The following lemma holds in the same way in  $p$ -adic analysis and in complex analysis. It is proven in [5] for the  $p$ -adic version and in [21] for the complex version.

**Lemma 2.9.** *Let  $f, g \in \mathcal{M}(\mathbb{E})$  (resp. let  $f, g \in \mathcal{M}(d(0, R^-))$ ) be non-constant and sharing the value 1 C.M.. Suppose that  $\Psi_{f,g} = 0$  and that*

$$\limsup_{r \rightarrow +\infty} \left( \frac{\overline{Z}(r, f) + \overline{Z}(r, g) + \overline{N}(r, f) + \overline{N}(r, g)}{\max(T(r, f), T(r, g))} \right) < 1,$$

resp.

$$\limsup_{r \rightarrow R^-} \left( \frac{\overline{Z}(r, f) + \overline{Z}(r, g) + \overline{N}(r, f) + \overline{N}(r, g)}{\max(T(r, f), T(r, g))} \right) < 1.$$

Then either  $f = g$  or  $fg = 1$ .

*Proofs of Theorems 1.7-1.11.* For simplicity, now we set  $n = k_1$ . Set  $F = f'P'(f)/\alpha$ ,  $G = g'P'(g)/\alpha$  and  $\widehat{F} = P(f)$ ,  $\widehat{G} = P(g)$ . Suppose  $F \neq G$ . We notice that  $P(x)$  is of the form  $x^{n+1}Q(x)$  with  $Q \in K[x]$  of degree  $k$ . Now, with help of Lemma 2.6, we can check that we have

$$T(r, \widehat{F}) - Z(r, \widehat{F}) \leq T(r, \widehat{F}') - Z(r, \widehat{F}') + \mathcal{O}(1).$$

Consequently, since  $(\widehat{F})' = \alpha F$ , we have

$$T(r, \widehat{F}) \leq T(r, F) + Z(r, \widehat{F}) - Z(r, F) + T(r, \alpha) + \mathcal{O}(1), \tag{2.4}$$

hence, by (2.4), we obtain

$$\begin{aligned} T(r, \widehat{F}) &\leq T(r, F) + (n+1)Z(r, f) + Z(r, Q(f)) - nZ(r, f) \\ &\quad - \sum_{i=2}^l k_i Z(r, f - a_i) - Z(r, f') + T(r, \alpha) + \mathcal{O}(1), \end{aligned}$$

i.e.,

$$T(r, \widehat{F}) \leq T(r, F) + Z(r, f) + Z(r, Q(f)) - \sum_{i=2}^l k_i Z(r, f - a_i) - Z(r, f') + T(r, \alpha) + \mathcal{O}(1), \tag{2.5}$$

and similarly,

$$T(r, \widehat{G}) \leq T(r, G) + Z(r, g) + Z(r, Q(g)) - \sum_{i=2}^l k_i Z(r, g - a_i) - Z(r, g') + T(r, \alpha) + \mathcal{O}(1). \tag{2.6}$$

Now, it follows from the definition of  $F$  and  $G$  that

$$Z_{[2]}(r, F) + N_{[2]}(r, F) \leq 2Z(r, f) + 2 \sum_{i=2}^l Z(r, f - a_i) + Z(r, f') + 2\overline{N}(r, f) + T(r, \alpha) + \mathcal{O}(1), \tag{2.7}$$

and similarly

$$Z_{[2]}(r, G) + N_{[2]}(r, G) \leq 2Z(r, g) + 2 \sum_{i=2}^l Z(r, g - a_i) + Z(r, g') + 2\overline{N}(r, g) + T(r, \alpha) + \mathcal{O}(1). \tag{2.8}$$

And particularly, if  $k_i = 1, \forall i \in \{2, \dots, l\}$ , then

$$Z_{[2]}(r, F) + N_{[2]}(r, F) \leq 2Z(r, f) + \sum_{i=2}^l Z(r, f - a_i) + Z(r, f') + 2\bar{N}(r, f) + T(r, \alpha) + \mathcal{O}(1), \quad (2.9)$$

and similarly

$$Z_{[2]}(r, G) + N_{[2]}(r, G) \leq 2Z(r, g) + \sum_{i=2}^l Z(r, g - a_i) + Z(r, g') + 2\bar{N}(r, g) + T(r, \alpha) + \mathcal{O}(1). \quad (2.10)$$

Suppose now that  $\Psi_{F,G}$  is not identically zero. Let us place us in the  $p$ -adic context:  $\mathbb{E} = \mathbb{K}$ . By Lemma 2.7, we have

$$T(r, F) \leq Z_{[2]}(r, F) + N_{[2]}(r, F) + Z_{[2]}(r, G) + N_{[2]}(r, G) - 3\log r,$$

hence by (2.5), we obtain

$$\begin{aligned} T(r, \widehat{F}) &\leq Z_{[2]}(r, F) + N_{[2]}(r, F) + Z_{[2]}(r, G) + N_{[2]}(r, G) + Z(r, f) + Z(r, Q(f)) \\ &\quad - \sum_{i=2}^l k_i Z(r, f - a_i) - Z(r, f') + T(r, \alpha) - 3\log r + \mathcal{O}(1), \end{aligned}$$

and hence by (2.7) and (2.8)

$$\begin{aligned} T(r, \widehat{F}) &\leq 2Z(r, f) + 2\sum_{i=2}^l Z(r, f - a_i) + Z(r, f') + 2\bar{N}(r, f) + 2Z(r, g) \\ &\quad + 2\sum_{i=2}^l Z(r, g - a_i) + Z(r, g') + 2\bar{N}(r, g) + Z(r, f) + Z(r, Q(f)) \\ &\quad - \sum_{i=2}^l k_i Z(r, f - a_i) - Z(r, f') + 2T(r, \alpha) - 3\log r + \mathcal{O}(1), \end{aligned} \quad (2.11)$$

and similarly,

$$\begin{aligned} T(r, \widehat{G}) &\leq 2Z(r, g) + 2\sum_{i=2}^l Z(r, g - a_i) + Z(r, g') + 2\bar{N}(r, g) + 2Z(r, f) \\ &\quad + 2\sum_{i=2}^l Z(r, f - a_i) + Z(r, f') + 2\bar{N}(r, f) + Z(r, g) + Z(r, Q(g)) \\ &\quad - \sum_{i=2}^l k_i Z(r, g - a_i) - Z(r, g') + 2T(r, \alpha) - 3\log r + \mathcal{O}(1). \end{aligned} \quad (2.12)$$

Consequently,

$$\begin{aligned} T(r, \widehat{F}) + T(r, \widehat{G}) &\leq 5(Z(r, f) + Z(r, g)) + \sum_{i=2}^l (4 - k_i)(Z(r, f - a_i) + Z(r, g - a_i)) \\ &\quad + (Z(r, f') + Z(r, g')) + 4(\overline{N}(r, f) + \overline{N}(r, g)) + (Z(r, Q(f)) + Z(r, Q(g))) \\ &\quad + 4T(r, \alpha) - 6\log r + \mathcal{O}(1). \end{aligned} \quad (2.13)$$

Moreover, if  $k_i = 1, \forall i \in \{2, \dots, l\}$ , then by (2.9) and (2.10) we have

$$\begin{aligned} T(r, \widehat{F}) &\leq 2Z(r, f) + \sum_{i=2}^l Z(r, f - a_i) + Z(r, f') + 2\overline{N}(r, f) + 2Z(r, g) \\ &\quad + \sum_{i=2}^l Z(r, g - a_i) + Z(r, g') + 2\overline{N}(r, g) + Z(r, f) + Z(r, Q(f)) \\ &\quad - \sum_{i=2}^l Z(r, f - a_i) - Z(r, f') + 2T(r, \alpha) - 3\log r + \mathcal{O}(1), \end{aligned}$$

and similarly,

$$\begin{aligned} T(r, \widehat{G}) &\leq 2Z(r, g) + \sum_{i=2}^l Z(r, g - a_i) + Z(r, g') + 2\overline{N}(r, g) + 2Z(r, f) \\ &\quad + \sum_{i=2}^l Z(r, f - a_i) + Z(r, f') + 2\overline{N}(r, f) + Z(r, g) + Z(r, Q(g)) \\ &\quad - \sum_{i=2}^l Z(r, g - a_i) - Z(r, g') + 2T(r, \alpha) - 3\log r + \mathcal{O}(1). \end{aligned}$$

Consequently,

$$\begin{aligned} T(r, \widehat{F}) + T(r, \widehat{G}) &\leq 5(Z(r, f) + Z(r, g)) + \sum_{i=2}^l (Z(r, f - a_i) + Z(r, g - a_i)) \\ &\quad + Z(r, Q(f)) + Z(r, Q(g)) + (Z(r, f') + Z(r, g')) \\ &\quad + 4(\overline{N}(r, f) + \overline{N}(r, g)) + 4T(r, \alpha) - 6\log r + \mathcal{O}(1). \end{aligned} \quad (2.14)$$

Now, let us go back to the general case. By Lemma 2.4, we can write  $Z(r, f') + Z(r, g') \leq Z(r, f - a_2) + Z(r, g - a_2) + \overline{N}(r, f) + \overline{N}(r, g) - 2\log r$ . Hence, in general, by (2.13) we obtain

$$\begin{aligned} T(r, \widehat{F}) + T(r, \widehat{G}) &\leq 5(Z(r, f) + Z(r, g)) + \sum_{i=3}^l (4 - k_i)((Z(r, f - a_i) + Z(r, g - a_i))) \\ &\quad + (5 - k_2)((Z(r, f - a_2) + Z(r, g - a_2)) + 5(\overline{N}(r, f) + \overline{N}(r, g))) \\ &\quad + (Z(r, Q(f)) + Z(r, Q(g))) + 4T(r, \alpha) - 8\log r + \mathcal{O}(1), \end{aligned}$$

and hence, since  $T(r, Q(f)) = kT(r, f) + \mathcal{O}(1)$  and  $T(r, Q(g)) = kT(r, g) + \mathcal{O}(1)$ ,

$$\begin{aligned} T(r, \widehat{F}) + T(r, \widehat{G}) &\leq 5(T(r, f) + T(r, g)) + \sum_{i=3}^l (4 - k_i) ((Z(r, f - a_i) + Z(r, g - a_i))) \\ &\quad + (5 - k_2) ((Z(r, f - a_2) + Z(r, g - a_2))) + 5(\overline{N}(r, f) + \overline{N}(r, g)) \\ &\quad + k(T(r, f) + T(r, g)) + 4T(r, \alpha) - 8\log r + \mathcal{O}(1). \end{aligned} \tag{2.15}$$

Since  $\widehat{F}$  is a polynomial in  $f$  of degree  $n + k + 1$ , we have  $T(r, \widehat{F}) = (n + k + 1)T(r, f) + \mathcal{O}(1)$  and similarly,  $T(r, \widehat{G}) = (n + k + 1)T(r, g) + \mathcal{O}(1)$ , hence by (2.15) we can derive

$$\begin{aligned} (n + k + 1)(T(r, f) + T(r, g)) &\leq 5(T(r, f) + T(r, g)) + (5 - k_2)(Z(r, f - a_2) + Z(r, g - a_2)) \\ &\quad + \sum_{i=3}^l (4 - k_i) ((Z(r, f - a_i) + Z(r, g - a_i))) + 5(\overline{N}(r, f) + \overline{N}(r, g)) \\ &\quad + k(T(r, f) + T(r, g)) + 4T(r, \alpha) - 8\log r + \mathcal{O}(1), \end{aligned} \tag{2.16}$$

hence

$$\begin{aligned} (n + k + 1)(T(r, f) + T(r, g)) &\leq 10(T(r, f) + T(r, g)) + \sum_{i=3}^l (4 - k_i) ((Z(r, f - a_i) + Z(r, g - a_i))) \\ &\quad + (5 - k_2) ((Z(r, f - a_2) + Z(r, g - a_2))) + k(T(r, f) + T(r, g)) \\ &\quad + 4T(r, \alpha) - 8\log r + \mathcal{O}(1), \end{aligned}$$

hence

$$\begin{aligned} n(T(r, f) + T(r, g)) &\leq 9(T(r, f) + T(r, g)) + (5 - k_2) ((Z(r, f - a_2) + Z(r, g - a_2))) \\ &\quad + \sum_{i=3}^l (4 - k_i) ((Z(r, f - a_i) + Z(r, g - a_i))) \\ &\quad + 4T(r, \alpha) - 8\log r + \mathcal{O}(1). \end{aligned} \tag{2.17}$$

Then  $(5 - k_2)(Z(r, f - a_2) + Z(r, g - a_2)) \leq \max(0, 5 - k_2)(T(r, f) + T(r, g)) + \mathcal{O}(1)$  and at least, for each  $i = 3, \dots, l$ , we have

$$(4 - k_i)(Z(r, f - a_i) + Z(r, g - a_i)) \leq \max(0, 4 - k_i)(T(r, f) + T(r, g)) + \mathcal{O}(1).$$

Now suppose  $s_5 > 0$ . That means that  $k_i \geq 5, \forall i = 3, \dots, u_5$  with  $l \geq 5$ . We notice that the number of indices  $i$  superior or equal to 2 such that  $k_i \geq 5$  is  $u_5 - 2$ . Similarly, for each  $m > 5$ , the number of indices superior or equal to 1 such that  $k_i \geq m$  is  $u_m - 1$ .

Suppose first  $\mathbb{E} = \mathbb{K}$ . then we can apply Theorem 2.3 and then we obtain  $\sum_{i=3}^{u_5} Z(r, f - a_i) \geq (u_5 - 3)T(r, f) - \log r + \mathcal{O}(1)$  and for each  $m \geq 6, \sum_{i=3}^{u_m} Z(r, g - a_i) \geq (u_m - 2)T(r, g) - \log r + \mathcal{O}(1)$ , i.e.,  $\sum_{i=3}^{u_5} Z(r, f - a_i) \geq s_5 T(r, f) - \log r + \mathcal{O}(1)$ , i.e.,  $\sum_{i=3}^{u_m} Z(r, g - a_i) \geq s_m T(r, g) - \log r + \mathcal{O}(1)$  in Theorems 1.7, 1.9, 1.10.

Consequently, by (2.17), we obtain

$$\begin{aligned} n(Tr, f) + T(r, g) &\leq 9(T(r, f) + T(r, g)) + \max(0, 5 - k_2)(Z(r, f - a_2) + Z(r, g - a_2)) \\ &\quad + \sum_{i=3}^l \max(0, 4 - k_i)(Z(r, f - a_i) + Z(r, g - a_i)) - \sum_{m=5}^{\infty} s_m(T(r, f) + T(r, g)) \\ &\quad + 4T(r, \alpha) - 8\log r + \mathcal{O}(1), \end{aligned} \quad (2.18)$$

therefore

$$n \leq 9 + \max(5 - k_2) + \sum_{i=3}^l \max(0, 4 - k_i) - \sum_{j=5}^{\infty} s_j,$$

a contradiction to the hypotheses of Theorem 1.7.

Consider now the situation in Theorems 1.9 and 1.10. In Theorem 1.9, we have  $T(r, \alpha) \leq \log r + \mathcal{O}(1)$  and in Theorem 1.10,  $T(r, \alpha) = 0$ . Consequently, Relation (2.18) now implies

$$\begin{aligned} n(Tr, f) + T(r, g) &\leq 9(T(r, f) + T(r, g)) + \max(0, 5 - k_2)(Z(r, f - a_2) + Z(r, g - a_2)) \\ &\quad + \sum_{i=3}^l \max(0, 4 - k_i)(Z(r, f - a_i) + Z(r, g - a_i)) - \sum_{m=5}^{\infty} s_m(T(r, f) + T(r, g)) \\ &\quad - 4\log r + \mathcal{O}(1), \end{aligned}$$

therefore

$$n < 9 + \max(0, 5 - k_2) + \sum_{i=3}^l \max(0, 4 - k_i) - \sum_{m=5}^{\infty} s_m,$$

but this is incompatible with the hypothesis

$$n \geq 9 + \max(5 - k_2) + \sum_{i=3}^l \max(0, 4 - k_i) - \min\left(2l, \sum_{j=5}^{\infty} s_j\right).$$

Now, let us consider the complex context:  $\mathbb{E} = \mathbb{C}$ . All inequalities above hold just by replacing each expression  $-q\log r$  by  $S_f(r) + S_g(r)$ . However, we cannot apply Theorem 2.3 here but only Theorem 2.1. Therefore we obtain

$$\begin{aligned} \sum_{i=3}^{u_5} (Z(r, f - a_i) + Z(r, g - a_i)) &\geq (u_5 - 4)(T(r, f) + T(r, g)) = t_5(T(r, f) + T(r, g)), \\ \sum_{i=3}^{u_m} (Z(r, f - a_i) + Z(r, g - a_i)) &\geq (u_m - 3)(T(r, f) + T(r, g)) = t_m(T(r, f) + T(r, g)). \end{aligned}$$

Therefore we obtain

$$n \leq 9 + \max(5 - k_2) + \sum_{i=3}^l \max(0, 4 - k_i) - \sum_{m=5}^{\infty} t_m$$

a contradiction to the hypothesis of Theorem 1.8.

Finally, consider the situation in Theorem 1.11. Since  $N(r, f) = N(r, g) = 0$ , Relation (2.16) gets

$$\begin{aligned} (n+k+1)(T(r, f) + T(r, g)) &\leq 5(T(r, f) + T(r, g)) + (5-k_2)(Z(r, f-a_2) + Z(r, g-a_2)) \\ &\quad + \sum_{i=3}^l (4-k_i)((Z(r, f-a_i) + Z(r, g-a_i))) \\ &\quad + k(T(r, f) + T(r, g)) + 4T(r, \alpha) + S_f(r) + S_g(r). \end{aligned}$$

On the other hand, by applying Theorem 2.1 to  $f$  and  $g$ , which now are entire functions, we have

$$\begin{aligned} \sum_{i=3}^{u_5} Z(r, f-a_i) &\geq (u_5-3)T(r, f) = s_5 T(r, f), & \sum_{i=3}^{u_5} Z(r, g-a_i) &\geq (u_5-3)T(r, g) = s_5 T(r, g), \\ \sum_{i=3}^{u_m} Z(r, f-a_i) &\geq (u_m-2)T(r, f) = s_m T(r, f), & \sum_{i=3}^{u_m} Z(r, g-a_i) &\geq (u_m-2)T(r, g) = s_m T(r, g). \end{aligned}$$

Consequently,

$$n+k+1 \leq 5+k+\max(0, 5-k_2) + \sum_{i=3}^l \max(0, 4-k_i) - \sum_{m=1}^{\infty} s_m,$$

and therefore

$$n \leq 4 + \max(0, 5-k_2) + \sum_{i=3}^l \max(0, 4-k_i) - \sum_{m=1}^{\infty} s_m,$$

a contradiction to the hypotheses of Theorem 1.11.

Thus, in the hypotheses of Theorems 1.7-1.11 we have proven that  $\Psi_{F,G}$  is identically zero. Henceforth, we can assume that  $\Psi_{F,G} = 0$  in each theorem.

Note that we can write

$$\Psi_{F,G} = \frac{\phi'}{\phi} \quad \text{with} \quad \phi = \left( \frac{F'}{(F-1)^2} \right) \left( \frac{(G-1)^2}{G'} \right).$$

Since  $\Psi_{F,G} = 0$ , there exist  $A, B \in \mathbb{E}$  such that

$$\frac{1}{G-1} = \frac{A}{F-1} + B, \tag{2.19}$$

and  $A \neq 0$ .

We notice that  $\bar{Z}(r, f) \leq T(r, f)$ ,

$$\bar{N}(r, f) \leq T(r, f)\bar{Z}(r, f-a_i) \leq T(r, f-a_i) \leq T(r, f) + \mathcal{O}(1), \quad i = 2, \dots, l,$$

and  $\bar{Z}(r, f') \leq T(r, f') \leq 2T(r, f) + \mathcal{O}(1)$ . Similarly for  $g$  and  $g'$ . Moreover, if  $\mathbb{E} = \mathbb{K}$  by Lemma 2.4 we have

$$T(r, F) \geq (n+k)T(r, f), \quad (2.20)$$

and if  $\mathbb{E} = \mathbb{C}$ , we have

$$T(r, F) \geq (n+k)T(r, f) - m\left(r, \frac{1}{f'}\right) + S_f(r). \quad (2.21)$$

We will show that  $F=G$  in each theorem. We first notice that according to all hypotheses in Theorems 1.7-1.10 we have

$$n+k \geq 2l+7 \quad (2.22)$$

and in Theorem 1.11, we have

$$n+k \geq 2l+5. \quad (2.23)$$

We will consider the following two cases:  $B=0$  and  $B \neq 0$ .

**Case 1:**  $B=0$ .

Suppose  $A \neq 1$ . Then, by (2.19), we have  $F = AG + (1-A)$ . Suppose first  $\mathbb{E} = \mathbb{K}$ . Applying Theorem 2.1 to  $F$ , we obtain

$$\begin{aligned} T(r, F) &\leq \bar{Z}(r, F) + \bar{Z}(r, F - (1-A)) + \bar{N}(r, F) - \log r + \mathcal{O}(1) \\ &\leq \bar{Z}(r, f) + \sum_{i=2}^l \bar{Z}(r, f - a_i) + \bar{Z}(r, f') + \bar{Z}(r, g) + \sum_{i=2}^l \bar{Z}(r, g - a_i) + \bar{Z}(r, g') \\ &\quad + \bar{N}(r, f) - \log r + \mathcal{O}(1). \end{aligned} \quad (2.24)$$

By (2.20) and (2.24), we obtain

$$\begin{aligned} (n+k)T(r, f) &\leq \bar{Z}(r, F) + \bar{Z}(r, F - (1-A)) + \bar{N}(r, F) - \log r + \mathcal{O}(1) \\ &\leq \bar{Z}(r, f) + \sum_{i=2}^l \bar{Z}(r, f - a_i) + \bar{Z}(r, f') + \bar{Z}(r, g) + \sum_{i=2}^l \bar{Z}(r, g - a_i) + \bar{Z}(r, g') + \bar{N}(r, f) \\ &\quad - \log r + \mathcal{O}(1). \end{aligned} \quad (2.25)$$

By (2.25), we have

$$\begin{aligned} (n+k)T(r, f) &\leq \bar{Z}(r, F) + \bar{Z}(r, F - (1-A)) + \bar{N}(r, F) - \log r + \mathcal{O}(1) \\ &\leq \bar{Z}(r, f) + \sum_{i=2}^l \bar{Z}(r, f - a_i) + \bar{Z}(r, f') + \bar{Z}(r, g) + \sum_{i=2}^l \bar{Z}(r, g - a_i) \\ &\quad + \bar{Z}(r, g') + \bar{N}(r, f) - \log r + \mathcal{O}(1), \end{aligned}$$



hence

$$(n+k)T(r,f) \leq \bar{Z}(r,f) + \sum_{i=2}^l \bar{Z}(r,f-a_i) + \bar{Z}(r,g) + \sum_{i=2}^l \bar{Z}(r,g-a_i) + \bar{N}(r,f) + \bar{Z}(r,g') + \bar{Z}(r,f') - \log r + \mathcal{O}(1). \tag{2.26}$$

Then, considering all the previous inequalities, by Lemma 2.4 we can derive the following from (2.26)

$$(n+k)T(r,f) \leq (l+3)T(r,f) + (l+2)T(r,g) - 3\log r + \mathcal{O}(1). \tag{2.27}$$

Since  $f$  and  $g$  satisfy the same hypothesis, we also have

$$(n+k)T(r,g) \leq (l+3)T(r,g) + (l+2)T(r,f) - 3\log r + \mathcal{O}(1). \tag{2.28}$$

Hence, adding (2.27) and (2.28), we have

$$(n+k)[T(r,f) + T(r,g)] \leq (2l+5)[T(r,f) + T(r,g)] - 6\log r + \mathcal{O}(1),$$

therefore

$$n+k < 2l+5. \tag{2.29}$$

A contradiction to (2.23) proving that  $A \neq 1$  is impossible whenever  $B = 0$ , in Theorems 1.7, 1.9 and 1.10.

Suppose now  $\mathbb{E} = \mathbb{C}$ . By (2.21), we have

$$\begin{aligned} (n+k)T(r,f) &\leq \bar{Z}(r,F) + \bar{Z}(r,F - (1-A)) + \bar{N}(r,F) + m\left(r, \frac{1}{f'}\right) + S_F(r) \\ &\leq \bar{Z}(r,f) + \sum_{i=2}^l \bar{Z}(r,f-a_i) + \bar{Z}(r,f') + m\left(r, \frac{1}{f'}\right) + \bar{Z}(r,g) + \sum_{i=2}^l \bar{Z}(r,g-a_i) \\ &\quad + \bar{Z}(r,g') + \bar{N}(r,f) + S_f(r) + S_g(r). \end{aligned}$$

Here we notice that  $\bar{Z}(r,f') + m(r,1/f') \leq T(r,1/f') = T(r,f') + \mathcal{O}(1)$ , hence

$$(n+k)T(r,f) \leq \bar{Z}(r,f) + \sum_{i=2}^l \bar{Z}(r,f-a_i) + \bar{Z}(r,g) + \sum_{i=2}^l \bar{Z}(r,g-a_i) + \bar{N}(r,f) + \bar{Z}(r,g') + T(r,f') + S_f(r) + S_g(r). \tag{2.30}$$

Then, considering all the previous inequalities in (2.30), similarly we can derive

$$(n+k)T(r,f) \leq (l+3)T(r,f) + (l+2)T(r,g) + S_f(r) + S_g(r). \tag{2.31}$$

Since  $f$  and  $g$  satisfy the same hypothesis, we also have

$$(n+k)T(r,g) \leq (l+3)T(r,g) + (l+2)T(r,f) + S_f(r) + S_g(r). \quad (2.32)$$

Hence, adding (2.31) and (2.32), we have

$$(n+k)[T(r,f) + T(r,g)] \leq (2l+5)[T(r,f) + T(r,g)] + S_f(r) + S_g(r),$$

therefore  $n+k \leq 2l+5$ , a contradiction to (2.23) proving that  $A \neq 1$  is impossible whenever  $B=0$ , in Theorem 1.8.

Consider now the situation in Theorem 1.11. By hypothesis we have

$$k_1 \geq 5 + \max(0, 5 - k_2) + \sum_{i=3}^l \max(0, 4 - k_i) - \min\left(2l, \sum_{m=5}^{\infty} s_m\right),$$

hence

$$n+k \geq 10 + 4(l-2) - \sum_{m=5}^{\infty} s_m = 4l + 2 - \sum_{m=5}^{\infty} s_m.$$

Since  $N(r,f) = N(r,g) = 0$ , we can use Theorem 2.1, for entire functions and we obtain

$$\sum_{i=3}^{u_5} Z(r, f - a_i) \geq (u_5 - 3)T(r, f) + S_f(r) + S_g(r),$$

and for each  $m \geq 6$ ,

$$\sum_{i=3}^{u_m} Z(r, g - a_i) \geq (u_m - 2)T(r, g) + S_f(r) + S_g(r),$$

i.e.,

$$\sum_{i=3}^{u_5} Z(r, f - a_i) \geq s_5 T(r, f) + S_f(r) + S_g(r)$$

and

$$\sum_{i=3}^{u_m} Z(r, g - a_i) \geq s_m T(r, g) + S_f(r) + S_g(r).$$

Now, Relation (2.16) now gets

$$\begin{aligned} & (n+k+1)(T(r,f) + T(r,g)) \\ & \leq 5(T(r,f) + T(r,g)) + (5-k_2)(Z(r, f - a_2) + Z(r, g - a_2)) \\ & \quad + \sum_{i=3}^l (4-k_i)((Z(r, f - a_i) + Z(r, g - a_i))) + k(T(r,f) + T(r,g)) + S_f(r) + S_g(r), \end{aligned}$$

therefore

$$n+k \leq 9+4(l-2) - \sum_{j=5}^{\infty} s_j = 2l+1 - \sum_{m=5}^{\infty} s_m$$

a contradiction to the hypothesis  $n+k \geq 2l+5$  of Theorem 1.11. Consequently, the hypothesis  $A \neq 1$  does not hold when  $B=0$ . Henceforth we suppose  $B \neq 0$ .

**Case 2:**  $B \neq 0$ .

Consider first the situation when  $\mathbb{E}=\mathbb{K}$ , i.e., in Theorems 1.7 and in Theorems 1.9 and 1.10. By (2.20) we have Immediately,

$$\begin{aligned} & \bar{Z}(r,F) + \bar{Z}(r,G) + \bar{N}(r,F) + \bar{N}(r,G) \\ & \leq \bar{Z}(r,f) + \sum_{i=2}^l \bar{Z}(r,f-a_i) + \bar{Z}(r,f') + \bar{Z}(r,g) + \sum_{i=2}^l \bar{Z}(r,g-a_i) \\ & \quad + \bar{Z}(r,g') + \bar{N}(r,f) + \bar{N}(r,g) + 4T(r,\alpha) + \mathcal{O}(1) \\ & \leq (l+1)[T(r,f) + T(r,g)] + T(r,f') + T(r,g') + 4T(r,\alpha) + \mathcal{O}(1) \\ & \leq (l+3)(T(r,f) + T(r,g)) + 4T(r,\alpha) - 2\log r, \end{aligned}$$

hence by Lemma 2.4,

$$\bar{Z}(r,F) + \bar{Z}(r,G) + \bar{N}(r,F) + \bar{N}(r,G) \leq (l+3)(T(r,f) + 4T(r,\alpha) - 2\log r + \mathcal{O}(1)). \quad (2.33)$$

Moreover, by (2.19),  $T(r,F) = T(r,G) + \mathcal{O}(1)$  and by Lemma 2.4, we have

$$T(r,f) \leq \frac{1}{n+k}(T(r,F) + T(r,\alpha)) + \mathcal{O}(1) \quad \text{and} \quad T(r,g) \leq \frac{1}{n+k}(T(r,G) + T(r,\alpha)) + \mathcal{O}(1).$$

Consequently,

$$T(r,f) + T(r,g) \leq 2 \left[ \frac{1}{n+k}(T(r,F) + T(r,\alpha)) \right] + \mathcal{O}(1), \quad (2.34a)$$

$$\begin{aligned} & \bar{Z}(r,F) + \bar{Z}(r,G) + \bar{N}(r,F) + \bar{N}(r,G) \\ & \leq \frac{2l+6}{n+k} T(r,F) + \left( \frac{2l+6}{n+k} + 4 \right) T(r,\alpha) - 2\log r + \mathcal{O}(1). \end{aligned} \quad (2.34b)$$

Now, by Hypotheses, in Theorems 1.7, 1.9, 1.10 by (2.22), we have  $n+k \geq 2l+7$ . Consequently, by relation (2.34b) we obtain

$$\bar{Z}(r,F) + \bar{Z}(r,G) + \bar{N}(r,F) + \bar{N}(r,G) \leq \frac{2l+6}{2l+7} T(r,F) + \left( \frac{2l+6}{2l+7} + 4 \right) T(r,\alpha) + \mathcal{O}(1), \quad (2.35)$$

and similarly,

$$\bar{Z}(r,F) + \bar{Z}(r,G) + \bar{N}(r,F) + \bar{N}(r,G) \leq \frac{2l+6}{2l+7} T(r,G) + \left( \frac{2l+6}{2l+7} + 4 \right) T(r,\alpha) + \mathcal{O}(1), \quad (2.36)$$

hence

$$\limsup_{r \rightarrow +\infty} \left( \frac{\overline{Z}(r, F) + \overline{Z}(r, G) + \overline{N}(r, F) + \overline{N}(r, G)}{\max(T(r, F), T(r, G))} \right) < 1.$$

Therefore, by Lemma 2.9, and Theorems 1.7, 1.9, 1.10, we have either  $F = G$ , or  $FG = 1$ .

Suppose  $FG = 1$ . Then  $f'P'(f)g'P'(g) = \alpha^2$ . But in Theorems 1.7, 1.9, 1.10, we have assumed that  $n \neq k+1$  and if  $l = 2$ , then  $n \neq 2k, 2k+1, 3k+1$  and if  $l = 3$  then  $n \neq k, 3k_2 - k, 3k_3 - k$ . Consequently, we have a contradiction to Theorem 2.7. Thus, the hypothesis  $FG = 1$  is impossible and therefore we have  $F = G$ .

Consider now the situation when  $\mathbb{E} = \mathbb{C}$ , i.e., in Theorems 1.8 and 1.11. The proof is very similar to that in the case when  $\mathbb{E} = \mathbb{K}$ . We have

$$\begin{aligned} \overline{Z}(r, F) &\leq \overline{Z}(r, f) + \sum_{i=2}^l \overline{Z}(r, f - a_i) + \overline{Z}(r, f') + S_f(r), \\ \overline{N}(r, F) &\leq \overline{N}(r, f) + S_f(r), \end{aligned}$$

and similarly for  $G$ , so we can derive

$$\begin{aligned} &\overline{Z}(r, F) + \overline{Z}(r, G) + \overline{N}(r, F) + \overline{N}(r, G) \\ &\leq \overline{Z}(r, f) + \sum_{i=2}^l \overline{Z}(r, f - a_i) + \overline{Z}(r, f') + \overline{Z}(r, g) + \sum_{i=2}^l \overline{Z}(r, g - a_i) \\ &\quad + \overline{Z}(r, g') + \overline{N}(r, f) + \overline{N}(r, g) + S_f(r) + S_g(r) \\ &\leq (l+2) [T(r, f) + T(r, g)] + S_f(r) + S_g(r). \end{aligned} \tag{2.37}$$

Moreover, by (2.19),  $T(r, F) = T(r, G) + \mathcal{O}(1)$  and, by Lemma 2.4, we have

$$T(r, f) \leq \frac{1}{n+k} T(r, F) + S_f(r) \quad \text{and} \quad T(r, g) \leq \frac{1}{n+k} T(r, G) + S_g(r).$$

Consequently,

$$T(r, f) + T(r, g) \leq \frac{2}{n+k} T(r, F) + S_f(r) + S_g(r).$$

Thus, (2.37) implies

$$\overline{Z}(r, F) + \overline{Z}(r, G) + \overline{N}(r, F) + \overline{N}(r, G) \leq \frac{2l+6}{n+k} T(r, F) + S_f(r) + S_g(r).$$

Now, as in Theorems 1.7, 1.9, 1.10, we can check that  $n+k \geq 2l+7$  in Theorem 1.8. Consequently, the previous inequality implies

$$\overline{Z}(r, F) + \overline{Z}(r, G) + \overline{N}(r, F) + \overline{N}(r, G) \leq \frac{2l+6}{2l+7} T(r, F) + S_f(r) + S_g(r)$$

and similarly,

$$\overline{Z}(r,F) + \overline{Z}(r,G) + \overline{N}(r,F) + \overline{N}(r,G) \leq \frac{2l+6}{2l+7} T(r,G) + S_f(r) + S_g(r),$$

hence by Lemma 2.9 again, we have  $F=G$  or  $FG=1$ . Then, by Theorem 2.7 as in Theorems 1.7, 1.9, 1.10, the hypotheses of Theorem 1.8 prevent the case  $FG=1$  and therefore  $F=G$ .

Consider now the situation in Theorem 1.11. Relation (2.37) implies

$$\overline{Z}(r,F) + \overline{Z}(r,G) \leq (l+2) [T(r,f) + T(r,g)] + S_f(r) + S_g(r). \tag{2.38}$$

Moreover, by (16),  $T(r,F) = T(r,G) + O(1)$  and, by Lemma 2.4, we have

$$T(r,f) \leq \frac{1}{n+k} T(r,F) + S_f(r) \quad \text{and} \quad T(r,g) \leq \frac{1}{n+k} T(r,G) + S_g(r).$$

Consequently,

$$T(r,f) + T(r,g) \leq \frac{2}{n+k} T(r,F) + S_f(r) + S_g(r).$$

Thus, (2.37) implies

$$\begin{aligned} \overline{Z}(r,F) + \overline{Z}(r,G) &\leq \overline{Z}(r,f) + \sum_{i=2}^l \overline{Z}(r,f-a_i) + \overline{Z}(r,f') + \overline{Z}(r,g) \\ &\quad + \sum_{i=2}^l \overline{Z}(r,g-a_i) + \overline{Z}(r,g') + S_f(r) + S_g(r) \\ &\leq 4[T(r,f) + T(r,g)] + S_f(r) + S_g(r). \end{aligned}$$

Therefore,

$$\overline{Z}(r,F) + \overline{Z}(r,G) \leq \frac{2l+4}{n+k} T(r,F) + S_f(r) + S_g(r),$$

hence by (2.23) we have

$$\overline{Z}(r,F) + \overline{Z}(r,G) \leq \frac{2l+4}{2l+5} T(r,F) + S_f(r) + S_g(r).$$

In the same way, this proves that either  $F=G$  or  $FG=1$ . But by Theorem 2.7,  $FG=1$  is impossible. Hence  $F=G$ .

Thus, in Theorems 1.7-1.11, we have proven that  $F=G$ , i.e.,  $f'P'(f) = g'P'(g)$ . Consequently,  $P(f) - P(g)$  is a constant  $C$ . Then, by Lemma 2.8 and Proposition 1.1, in Theorems 1.7, 1.9, 1.10, we have  $P(f) = P(g)$  and by Lemma 2.8 and Proposition 1.2, we have  $P(f) = P(g)$  in Theorems 1.8 and 1.11. Finally, in each theorem,  $P$  is a polynomial of uniqueness for the family of functions we consider. Consequently,  $f=g$ .  $\square$

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