THE INERTIAL FRACTAL SETS FOR NONLINEAR SCHRÖDINGER EQUATIONS*

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Abstract The existence of inertial fractal sets for weakly dissipative Schrödinger equations which possess (E_0, E) type compact attractor is proved. The estimates of the upper bounds of fractal dimension of inertial fractal set are also obtained.

Key Words Schrödinger inertial fractal set. Classification 35Q55.

1. Introduction

In the study of the inertial manifold of the 2D Navier-Stokes equations (NSE) representing turbulent flows, one finds out that $^{[2]}$ since there exist spectral barriers and spectral gap conditions, the existence of an inertial manifold for 2D NSE is still a mystery. Recently, Eden et al. $^{[3]}$ have studied and discovered that some dissipative evolution equations with real coefficients, for which the (E,E) type compact attractors exist, including 2D NSE, have a kind of set similar to inertial manifold-inertial set. This paper advances the previous results to complex weakly infinite dimensional dynamical system that only possesses (E_0,E) type compact attractors.

2. Main Results

Let D(A), V be two Hilbert spaces, D(A) be dense in V and compactly imbedded into V.

We study (A. M.) and M. Marchetson's last resolutions

$$\frac{du}{dt} + Au + g(u) = f(x), \quad t > 0, \ x \in \Omega$$
 (1)

$$u(0) = u_0 \tag{2}$$

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$$u \mid_{\partial\Omega} = 0$$
 (3)

where Ω is a bounded open set in \mathbb{R}^n , $\partial\Omega$ is smooth. A is a positive self adjoint operator with a compact inverse. Let $\{w_n, n = 1, 2, \cdots\}$ denote the complete set of eigenvectors of A, the corresponding eigenvalues are

$$0 < \lambda_1 < \lambda_2 < \dots < \lambda_n < \dots / + \infty \tag{4}$$

We assume that the nonlinear semigroup S(t) defined in (1)–(3) possesses a (D(A), V) type compact attractor, namely, there exists a compact A in V, A attracts all bounded subsets in D(A) and it is invariant under the action of S(t).

Definition 1 A compact set M in V is called an inertial fractal set of (D(A), V) type for (S(t), B) if $A \subseteq M \subseteq B$ and

- 1. $S(t)M \subseteq M, \forall t \geq 0$,
- 2. M has finite fractal dimension, $d_F(M) < \infty$,
- 3. there exist positive constants c_0, c_1 such that

$$\operatorname{dist}_V(S(t)B, M) \le c_0 e^{-c_1 t}, \quad \forall t > 0$$

where $\operatorname{dist}_V(A,B) = \sup_{x \in A} \inf_{y \in B} |x-y|_V$, B is a positively invariant set for S(t) in V.

Definition 2^[3] If for every $\delta \in \left(0, \frac{1}{8}\right)$, there exists an orthogonal projection P_{N_0} of rank equal to N_0 such that for every u and v in B, either

$$|S(t_*)u - S(t_*)v|_V \le \delta |u - v|_V \tag{5}$$

or

$$|Q_{N_0}(S(t_*)u - S(t_*)v)|_V \le |P_{N_0}(S(t_*)u - S(t_*)v)|_V \tag{6}$$

Then we call S(t) is squeezing in B, where $Q_{N_0} = I - P_{N_0}$.

Theorem 1 Suppose (1)-(3) satisfies the following conditions

- there exists a (D(A), V) type compact attractor A.
- there exists a compact set B in V which is positively invariant for S(t).
- 3. S(t) is squeezing and Lipschitz continuous, that is there exists a bounded function l(t) such that $|S(t)u S(t)v|_V \le l(t)|u u|_V$ for every u, v in B.

Then (1)-(3) admits a (D(A), V) type inertial fractal set M for (S(t), B) and

$$M = \bigcup_{0 \le t \le t_{\star}} S(t) M_{\star} \tag{7}$$

where

$$M_* = \mathcal{A} \cup \left(\bigcup_{j=1}^{\infty} \bigcup_{k=1}^{\infty} S(t_*)^j \left(E^{(k)} \right) \right)$$
 (8)

Moreover,

$$d_F(M) \le 1 + N_0 \log \left(1 + \sqrt{2l/\delta}\right) / \log \frac{1}{\theta} \tag{9}$$

$$\operatorname{dist}_{V}(S(t)B, M) \le c_{0}e^{-c_{1}t} \tag{10}$$

where θ , N_0 , $E^{(k)}$ are defined as in [3], l is the Lipschitz constant for $S(t_*)$ in B. t_* is a positive constant.

Proof We utilize that B is compact in V and it is positive invariance for S(t), with B instead of X in [3], note also that S(t) is Lipschitz continuous and has a squeezing property in B, then this theorem is proved by the same manner of the proof of Theorem 1 in [3].

Proposition 1 Suppose that problem (1)-(3) possesses a unique global solution, $u \in C_w(R_+, D(A))$, if $u_0 \in D(A)$; $u \in C(R_+, V)$ if $u_0 \in V$. Moreover there exist closed absorbing sets B_0, B_1 in D(A), V respectively. Then nonlinear semigroup S(t) defined by problem (1)-(3) possesses a (D(A), V) type compact attractor

$$\mathcal{A} = \bigcap_{t \ge 0} S(t)B_0 \tag{11}$$

A is bounded in D(A). Notation C_w denotes the class of function which is weakly continuous with respect to t in topology of D(A).

Proof From [5] we know \mathcal{A} is a weakly compact attractor in D(A) and attracts all bounded set in D(A) with respect to weak topology in D(A). Since weakly bounded property is equivalent to strongly bounded property in Hilbert space, we deduce that \mathcal{A} is bounded set in D(A), by the compact imbedding of D(A) into V we know \mathcal{A} is compact in V. Suppose B is any bounded set in D(A), since \mathcal{A} attracts every bounded set in D(A) with respect to weak topology in D(A), we can extract a sequence $S(t_n)B$, which weakly converges to \mathcal{A} in D(A) as $t_n \to +\infty$, by the compact imbedding of D(A) into V we know $S(t_n)B$ strongly converges to \mathcal{A} in V, this shows that \mathcal{A} is a (D(A), V) type attractor.

Proposition 2 There exists $t_0(B_0)$ such that

$$B = \bigcup_{0 \le t \le t_0(B_0)} S(t)B_0 \tag{12}$$

is a compact, positively invariant set in V and is absorbing set for all bounded subset in D(A).

Proof By the definition of B_0 we know that, there exists $t_0(B_0)$ such that

$$S(t)B_0 \subseteq B_0$$
 for $t \ge t_0(B_0)$

It is easy to check that B defined in (12) satisfies that result of this proposition. Indeed, denoting $t = kt_0(B_0) + t_1$, $0 \le t_1 \le t_0(B_0)$, we have

$$S(t)B = \overline{\bigcup_{t_1 \le s \le t_0(B_0)} S(s)S(kt_0(B_0))B_0} \bigcup \overline{\bigcup_{0 \le s \le t_1} S(s)S((k+1)t_0(B_0))B_0}$$

$$\subseteq \overline{\bigcup_{t_1 \le s \le t_0(B_0)} S(s)B_0} \bigcup \overline{\bigcup_{0 \le s \le t_1} S(s)B_0} \subseteq \overline{\bigcup_{0 \le s \le t_0(B_0)} S(s)B_0} = B$$

Since D(A) is imbedded into V compactly, we deduce that B is compact in V, absorbability of B is clear.

Proposition 3 Let $u_1(t), u_2(t)$ be two solutions of problem (1)-(3) with $u_1(0), u_2(0) \in B$ respectively, setting $w(t) = u_1 - u_2$. If

1.
$$|w(t)|_V^2 \le ke^{\alpha t}|w(0)|_V^2$$
, where $w(0)$ is the sum of the sum of

$$2. \frac{d}{dt}\varphi(Q_N w) + c_0\varphi(Q_N w) \le c_1 \lambda_{N+1}^{-2\beta} |Q_N w|_V^2$$

$$(14)$$

holds, then there exists t_* such that $S(t_*)$ is Lipschitz continuous and squeezing in B where $\phi(Q_N w)$ satisfies

$$|Q_N w(t)|_V^2 \le k_0 \varphi(Q_N w) \le k_1 |Q_N w(t)|_V^2$$
 (15)

 $k_0, k_1, k, c_0, c_1, \alpha, \beta$ are positive constants independent of $w(t), Q_N w = w'|_{Q_N V} \lambda_{N+1}$ is eigenvalue as in (4), N satisfies

$$c_1 k_0 k(\alpha + c_0)^{-1} \lambda_{N+1}^{-2\beta} e^{2\alpha t_*} < \frac{1}{256}$$
 (16)

t_{*} satisfies

$$k_1 e^{-c_0 t_*} < \frac{1}{256} \tag{17}$$

Proof From (14), by Gronwall's inequality we have

$$\varphi(Q_N w) \leq \varphi(Q_N w(0)) e^{-c_0 t} + c_1 k \lambda_{N+1}^{-2\beta} e^{\alpha t} |Q_N w(0)|_V^2 e^{-c_0 t} \int_0^t e^{(\alpha + c_0) s} ds
\leq k_0^{-1} k_1 |Q_N w(0)|_V^2 e^{-c_0 t} + c_1 k (c_0 + \alpha)^{-1} \lambda_{N+1}^{-2\beta} e^{2\alpha t} |Q_N w(0)|_V^2
\leq |w(0)|_V^2 \left[k_0^{-1} k_1 e^{-c_0 t} + c_1 k (\alpha + c_0)^{-1} \lambda_{N+1}^{-2\beta} e^{2\alpha t} \right]$$
(18)

Let t. be large enough so that

$$k_1 e^{-c_0 t_*} < \frac{1}{256} \tag{19}$$

Next we choose N large enough so that

$$c_1 k_0 k (\alpha + c_0)^{-1} e^{2\alpha t_*} \lambda_{N+1}^{-2\beta} < \frac{1}{256}$$
 (20)

From (18)-(20) we obtain that

$$k_0 \varphi(Q_N w(t)) \le \frac{1}{2} \delta^2 |w(0)|_V^2, \quad \delta \in \left(0, \frac{1}{8}\right)$$
(21)

Then from (15) we have

$$|Q_N w(t_*)|_V^2 \le \frac{1}{128} |w(0)|_V^2$$
 (22)

So
$$|w(t_*)|_V^2 = |P_N w|_V^2 + |Q_N w|_V^2 < 2|Q_N w(t_*)|_V^2 < \frac{1}{64}|w(0)|_V^2$$

when $|Q_N w(t_*)|_V^2 > |P_N w(t_*)|_V^2$. It completes the proof of proposition. By Propositions 1-3 and Theorem 1, we immediately have

Theorem 2 Suppose that problem (1)-(3) satisfies the conditions of Proposition 3 and there exist bounded and closed absorbing sets B₀, B₁ in D(A), V respectively.

Then (S(t), B) admits a (D(A), V) type inertial fractal set M and

$$d_F(M) \le 1 + N_0 \log\left(1 + \sqrt{2}l/\delta\right) / \log\frac{1}{\theta} \tag{23}$$

$$dist_V(S(t)B, M) \le c_0 e^{-c_1 t} \tag{24}$$

where $l=ke^{\alpha t_*}$, $\delta\in\left(0,\frac{1}{8}\right)$, $4\delta<\theta<1$, c_0,c_1 are constants, N_0 satisfies (20), t_* satisfies (19).

2. Application

We consider

$$i\frac{du}{dt} - Au + g(|u|^2)u + i\gamma u = f(x), \quad (t, x) \in R_+ \times (0, l)$$
 (25)

$$u(0) = u_0 \tag{26}$$

$$u(0,t) = u(l,t) = 0 \quad (or \ u(x,t) = u(x+l,t), \ \forall t > 0, \ x \in R)$$
 (27)

where $A = -\frac{\partial^2}{\partial x^2}$, $g(s) \in C^2(R_+)$ satisfies

$$\lim_{s \to +\infty} \frac{h(s) - wG(s)}{s^3} \le 0 \tag{28}$$

$$\lim_{s \to +\infty} \frac{G_+(s)}{s^3} = 0 \tag{29}$$

$$h(s) = sg(s), \ G(s) = \int_0^s g(\sigma)d\sigma, \ G_+(s) = \max\{0, G(s)\}$$
 (30)

Let $D(A) = H^2(0, l) \cap H_0^1(0, l), V = H_0^1(0, l)$ (or

$$D(A) = \left\{ v \in H^2_{\text{loc}}(R), v(x+l) = v(x), \forall x \in R \right\}$$

$$V = \left\{ v \in H^1_{\text{loc}}(R), v(x+l) = v(x), \ \forall x \in R \right\} \right)$$

The norm of u in D(A) and V is defined by

$$||u||_{D(A)} = \left[|u|_0^2 + l^2 |u_x|_0^2 + l^4 |u_{xx}|_0^2 \right]^{1/2}$$
(31)

$$||u||_V = [|u|_0^2 + l^2|u_x|_0^2]^{1/2}, |\cdot|_0 \text{ is the norm of } L^2(0,l)$$
 (32)

Proposition 4^[5] Suppose that g satisfies (28)–(29), $f \in L^2(0, l)$, then for problem (25)-(27), we have the following statements.

- 1. If $u_0 \in V$ (D(A)), then there exists unique solution u and $u \in C(R_+, V) \cap L^{\infty}(R_+, V)$. $(u \in C(R_+, D(A)) \cap L^{\infty}(R_+, D(A)))$
- 2. There exists bounded closed absorbing set B_0, B_1 respectively $B_0 = \{u \in D(A), \|u\|_{D(A)} \le \rho_{\infty,2}\}, B_1 = \{u \in V, \|u\|_V \le \rho_{\infty,1}\}.$

From Proposition 2,

$$B = \overline{\bigcup_{0 \le t \le t_0(B_0)} S(t)B_0} \tag{33}$$

is a positively invariant compact convex set in V. In order to prove the existence of inertial fractal set of $\{S(t), B\}$, according to Theorem 2, the condition of Proposition 3 must be checked.

Let u_1, u_2 be two solutions for problem (25)–(27), $u_1(0), u_2(0) \in B$, we set $w(t) = u_1(t) - u_2(t)$, then w(t) satisfies

$$i\frac{\partial w}{\partial t} - Aw + g\left(|u_1|^2\right)u_1 - g\left(|u_2|^2\right)u_2 + i\gamma w = 0$$
(34)

By using

$$\frac{1}{2}\frac{d}{dt}|w_x|_0^2 = \text{Im}(iw_t - Aw, Aw)$$
 (35)

and

$$\frac{1}{2}\frac{d}{dt}|w|_0^2 = \text{Im}(iw_t - Aw, w)$$
 (36)

we have

$$\frac{1}{2}\frac{d}{dt}\|w\|_V^2 = \operatorname{Im}\left(g\left(|u_2|^2\right)u_2 - g\left(|u_1|^2\right)u_1, w + l^2Aw\right) - \gamma\|w\|_V^2 \tag{37}$$

Note that $g(s) \in C^2(R_+)$, so

$$g(|u_2|^2)u_2 - g(|u_1|^2)u_1 = g(|\xi|^2)w + g'(|\xi|^2)\xi^2\overline{w} + g'(|\xi|^2)|\xi|^2w$$
 (38)

where $\xi = \tau u_1 + (1 - \tau)u_2 \in B$, $\tau \in (0, 1)$. (notice that B is convex) By using (38) we have

$$\operatorname{Im}\left(g\left(|u_{2}|^{2}\right)u_{2}-g\left(|u_{1}|^{2}\right)u_{1},w\right)=\operatorname{Im}\left(g'\left(|\xi|^{2}\right)\xi^{2}\overline{w},w\right)$$

Note that $|\xi|_{L^{\infty}} \leq \rho_{\infty,2}$; $|g'(|\xi|^2)| \leq C$, we have

$$\operatorname{Im}\left(g\left(|u_{2}|^{2}\right)u_{2}-g\left(|u_{1}|^{2}\right)u_{1},w\right) \leq c\rho_{\infty,2}^{2}|w|_{0}^{2} \tag{39}$$

Also

$$\operatorname{Im}\left(g\left(|u_{2}|^{2}\right)u_{2} - g\left(|u_{1}|^{2}\right)u_{1}, Aw\right) = \operatorname{Im}\left(g\cdot\left(|\xi|^{2}\right)w\right) + g'\left(|\xi|^{2}\right)\xi^{2}w + g'\left(|\xi|^{2}\right)|\xi|^{2}w, Aw$$

$$= \operatorname{Im}\left(4g'\left(|\xi|^{2}\right)\operatorname{Re}\left(\xi\overline{\xi}_{x}\right)w, w_{x}\right) + \operatorname{Im}\left(g'|\xi|^{2}\right)\xi^{2}\overline{w}_{x}, w_{x}\right) \\ + \operatorname{Im}\left(2g''\left(|\xi|^{2}\right)\operatorname{Re}\left(\xi\overline{\xi}_{x}\right)\overline{w} + 2g'\left(|\xi|^{2}\right)\xi\xi_{x}\overline{w} + 2g''\left(|\xi|^{2}\right)\operatorname{Re}(\xi\xi_{x})|\xi|^{2}w, w_{x}\right) (40)$$

Now using $|\xi_x|_{L^{\infty}} \leq |\xi_x|_1^{1/2} |\xi|_0^{1/2} \leq \rho_{\infty,2}$ and L^{∞} estimates on $g'(|\xi|^2)$, $g''(|\xi|^2)$, we infer that

$$\left| \operatorname{Im} \left(g \left(|u_2|^2 \right) u_2 - g \left(|u_1|^2 \right) u_1, Aw \right) \right| \le c_3 |w_x|_0^2 + c_4' \int_0^l |w| |w_x| dx \le c_4 ||w||_V^2$$
 (41)

Combining (37), (39), with (41), we have

$$\frac{d}{dt}\|w(t)\|_{V}^{2} \le c_{6}\|w(t)\|_{V}^{2} \tag{42}$$

Then

$$||w(t)||_V^2 \le e^{c_6 t} ||w(0)||_V^2$$
(43)

where c_6 depends only on $\gamma, \rho_{\infty,2}, g, g', g''$.

Let

$$\varphi(w) = \int_{0}^{l} \left\{ |w_{x}|^{2} - g(|\xi|^{2}) |w|^{2} - 2g'(|\xi|^{2}) \operatorname{Re}(\xi \overline{w})^{2} \right\} dx$$
 (44)

Using the fact that $|\xi|_{L^{\infty}} \leq \rho_{\infty,2}$ for $\xi \in B$, $g(|\xi|^2)$, $g'(|\xi|^2) \leq c$, we have

$$||Q_N w(t)||_V^2 \le 2l^2 \varphi(Q_N w) \le 2c_2 l^2 ||Q_N w(t)||_V^2$$
(45)

when

$$\lambda_{N+1} \ge l^{-2} + 2c_0 + 4c_0\rho_{\infty,2}^2$$
(46)

where $c_2 = \max \left\{ l^{-2}, c_0 + 2c_0 \rho_{\infty,2}^2 \right\}$.

We multiply (34) by $\gamma \overline{w}$ and integrate on (0, l), take the real part. Next we multiply again (34) by $-\overline{w}_t$ and integrate on (0, l) take the real part, then we obtain respectively

$$\operatorname{Im} \int_{0}^{l} \gamma \overline{w}_{t} w dx - \int_{0}^{l} \gamma |w_{x}|^{2} dx + \gamma \int_{0}^{l} g'\left(|\xi|^{2}\right) |\xi|^{2} |w|^{2} dx + \gamma \int_{0}^{l} g\left(|\xi|^{2}\right) |w|^{2} dx + \operatorname{Re} \int_{0}^{l} \gamma g'\left(|\xi|^{2}\right) \operatorname{Re}(\xi \overline{w})^{2} dx = 0$$

$$(47)$$

$$\frac{1}{2} \frac{d}{dt} \left[\int_0^l |w_x|^2 dx - \int_0^l \left[2g' \left(|\xi|^2 \right) \operatorname{Re}(\xi \overline{w})^2 + g \left(|\xi|^2 \right) |w|^2 \right] dx \right]
+ \gamma \operatorname{Im} \int_0^l w \overline{w}_t dx = r(t, w)$$
(48)

where

$$r(t,w) = -\frac{1}{2} \int_0^t [|w|^2 \frac{\partial}{\partial t} g\left(|\xi|^2\right) + \operatorname{Re}(\xi \overline{w}) \frac{\partial}{\partial t} g'\left(|\xi|^2\right)$$

$$+2g'(|\xi|^2)\operatorname{Re}(\xi\overline{w})\operatorname{Re}(\xi_t\overline{w})]dx$$
 (49)

We deduce from (47) and (48) that

$$\frac{1}{2}\frac{d}{dt}\varphi(w) + \gamma |w_x|_0^2 - R(t, w) = r(t, w)$$
(50)

where

$$R(t,w) = \gamma \int_0^l g'\left(|\xi|^2\right) |\xi|^2 |w|^2 dx + \gamma \int_0^l g\left(|\xi|^2\right) |w|^2 dx$$
$$+ \gamma \operatorname{Re} \int_0^l g'\left(|\xi|^2\right) \operatorname{Re}(\xi \overline{w})^2 dx \tag{51}$$

Note that $\xi \in B$, so $|R(t, w)| \le c(\rho_{\infty, 2}, \gamma)|w|_0^2$. (52) Returning to (25) we have

$$iu_t = Au - g(|u|^2)u - i\gamma u - f$$

According to $u \in B, f \in L^2(0, l), u \in L^{\infty}(R_+, D(A)),$ we have $u_t \in L^2(0, l),$ and $\xi_t \in L^2(0, l)$ that is $|\xi_t|_0 \le c(\rho_{\infty,2}, |f|_0)$. Therefore we obtain

$$|r(t,w)| \le c_1 \int_0^t |w|^2 |\xi_t| dx \le c_1 |\xi_t| |w|_{L^4}^2 \le c |w_x|_0^{1/2} |w|_0^{3/2}$$

$$\le \frac{\gamma}{2} |w_x|_0^2 + \frac{3}{4} c^{4/3} (2\gamma)^{-1/3} |w|_0^2$$
(53)

Substituting (52), (53) into (50), we obtain

$$\frac{d}{dt}\varphi(w) + \gamma |w_x|_0^2 \le c_3 |w|_0^2 \tag{54}$$

where $c_3 = c_1 c(\rho_{\infty,2}, |f|_0) + 3c^{4/3}/4\sqrt[3]{2\gamma}$, c_1, c are constants which do not depend on w, constant $c(\rho_{\infty,2}, |f|_0)$ depends only on $\rho_{\infty,2}, |f|_0$.

Using

$$\left| \int_0^l g(|\xi|^2) |w|^2 dx + 2 \int_0^l g'(|\xi|^2) \operatorname{Re}(\xi \overline{w})^2 dx \right| \le c_4 |w|_0^2$$

we obtain

$$\frac{d}{dt}\varphi(Q_N w) + \gamma \varphi(Q_N w) \le c_5 \lambda_{N+1}^{-1} \|Q_N w\|_V^2 \tag{55}$$

where $c_5 = c_3 + c_4$, N satisfies (45).

Finally, we have

Theorem 3 Suppose that $u_1(t), u_2(t)$ be two solutions of the problem (25)–(27), $f \in L^2(0,l), u_0 \in D(A)$, then under the conditions (28)–(29), there exists the inertial fractal set M of (S(t), B) in V and

$$d_F(M) \le c \max\left\{\sqrt{l^{-2} + 2c_0 + 4c_0^2 \rho_{\infty,2}^2}, 16e^{c_6t_*}c_5^{1/2}(c_5 + \gamma)^{-1/2}\right\} + 2$$
 (56)

$$\operatorname{dist}_{V}(S(t)B, M) \le c_{0}e^{-c_{1}t} \tag{57}$$

 t_* satisfies $2c_2l^2e^{-\gamma t_*}<\frac{1}{256}$, constants c_0,c_1,c,c_6 , do not depend on w,c_2 is defined by (46).

Proof By Propositions 4, (43) and (55), we deduce that there exists an inertial fractal set for (S(t), B), where N_0 , satisfy

$$\lambda_{N_0+1} \ge \max\left\{2c_0 + 4c_0^2 \rho_{\infty,2}^2 + l^{-2}, 256c_5 e^{2c_6t_*} (\gamma + c_5)^{-1}\right\}$$
 (58)

We choose $\delta < \frac{1}{8}$, $4\delta < \theta < 1$, and observe $\lambda_{N_0+1} \ge c_0 N_0^2$ in (23), the complex space is regarded as the product of the two real spaces, we obtain the (56), (57) follows with (24).

Remark If we solve t_* from the inequality $2c_2l^2e^{-\gamma t_*} \leq \frac{1}{256}$, then (56) will not contain t_* .

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